

## INTRINSICALLY $n$ -LINKED GRAPHS

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Received 6 May 2000

Revised 12 April 2001

### ABSTRACT

For every natural number  $n$ , we exhibit a graph with the property that every embedding of it in  $\mathbb{R}^3$  contains a non-split  $n$ -component link. Furthermore, we prove that our graph is minor minimal in the sense that every minor of it has an embedding in  $\mathbb{R}^3$  that contains no non-split  $n$ -component link.

### 1. INTRODUCTION

Conway and Gordon [CG] and Sachs [Sa] proved that the complete graph on six vertices,  $K_6$ , is *intrinsically linked*; that is, every embedding of  $K_6$  in  $\mathbb{R}^3$  contains a homologically non-trivial link of two components. Furthermore, Sachs showed that every graph which can be obtained from  $K_6$  by a finite sequence of  $\Delta Y$  moves (i.e., replacing a triangle by a  $Y$ ) and a finite sequence of  $Y\Delta$  moves (i.e., replacing a  $Y$  by a triangle) is also intrinsically linked. The graph  $K_6$  together with the six graphs that can be obtained in this way are known as the *Petersen family* of graphs. A graph  $H$  is said to be a *minor* of a graph  $G$  if  $H$  can be obtained from  $G$  by deleting and/or contracting a finite number of edges. A graph  $G$  is said to be *minor minimal* with respect to a property if  $G$  has the property, but no minor of  $G$  has the property. Robertson, Seymour, and Thomas [RST] proved that a graph is minor minimal with respect to being intrinsically linked if and only if it is in the Petersen family.

The concept of an intrinsically linked graph has a natural generalization to links of  $n$  components. We say that a link  $L$  is *split* if there is an embedding of a 2-sphere  $F$  in  $\mathbb{R}^3 - L$  such that each component of  $\mathbb{R}^3 - F$  contains at least one component of  $L$ .

**Definition.** For any natural number  $n$ , we define a graph  $G$  to be *intrinsically  $n$ -linked* if every embedding of  $G$  in  $\mathbb{R}^3$  contains a non-split link of  $n$  components.

As stated above, the results of [RST] completely characterize intrinsically 2-linked graphs. Intrinsically 3-linked graphs were investigated in [FNP], where it was shown that  $K_{10}$  is the smallest complete graph that is intrinsically 3-linked. However, the question of whether  $K_{10}$  is minor minimal with respect to being intrinsically 3-linked was left open,

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1991 *Mathematics Subject Classification.* 57M25, 57M15.

*Key words and phrases.* intrinsically linked, self-linked, embedded graphs, spatial graphs, spatial embeddings, minor minimal.

and indeed no minor minimal intrinsically 3-linked graph was given. In this paper, for each  $n \geq 2$ , we construct a graph that is intrinsically  $n$ -linked, and which is minor minimal with respect to this property. In particular we prove the following theorems.

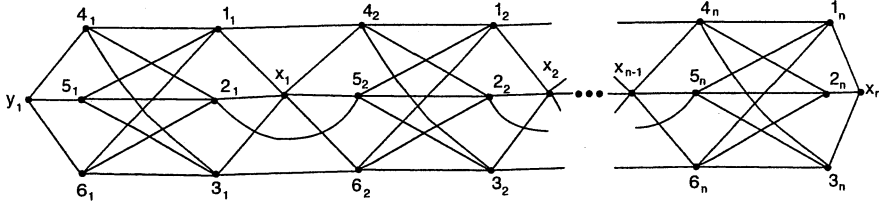


FIGURE 1. The graph  $G(n)$  is intrinsically  $(n + 1)$ -linked

**Theorem 1.** For every natural number  $n$ , let  $G(n)$  denote the graph illustrated in Figure 1. Then  $G(n)$  is intrinsically  $(n + 1)$ -linked.

**Theorem 2.** For every natural number  $n$ , the graph  $G(n)$  is minor minimal with respect to being intrinsically  $(n + 1)$ -linked.

One particular type of non-split link resembles the chain of a necklace. Formally, we define an  $n$ -necklace to be a link  $L_1 \cup L_2 \cup \dots \cup L_n$  such that for each  $i = 1, \dots, n - 1$ ,  $L_i \cup L_{i+1}$  is non-split and  $L_n \cup L_1$  is non-split. For each  $n \geq 2$ , we construct a graph  $F(n)$  which has the property that every embedding of  $F(n)$  in  $\mathbb{R}^3$  contains an  $n$ -necklace. In particular the following is a corollary to the proof of Theorem 1.

**Corollary.** For every  $n \geq 3$ , let  $F(n)$  denote the graph illustrated in Figure 2. Then every embedding of  $F(n)$  in  $\mathbb{R}^3$  contains an  $n$ -necklace.

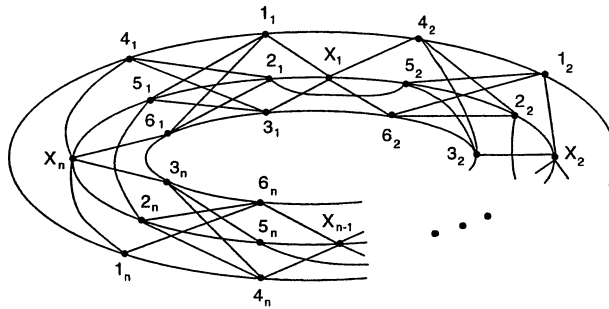


FIGURE 2. Every embedding of the graph  $F(n)$  contains an  $n$ -necklace

By definition, a 3-necklace  $L_1 \cup L_2 \cup L_3$  is pairwise non-split in the sense that for each  $i \neq j$ ,  $L_i \cup L_j$  is non-split. The graph  $F(3)$  is the first known example of a graph such that every embedding of it contains a pairwise non-split link of 3-components. The existence of such a graph suggests the problem of finding a graph  $Q(n)$  for each  $n > 3$  such that every embedding of  $Q(n)$  contains a pairwise non-split link of  $n$ -components.

Motwani, Raghunathan, and Saran [MRS] proved that if a graph  $G$  is intrinsically linked, and a graph  $G'$  is obtained from  $G$  by replacing a triangle in  $G$  by a  $Y$ , then  $G'$

is also intrinsically linked. It is easy to see that their proof can be modified to show that the analogous statement is true for intrinsically  $n$ -linked graphs. Thus all of the graphs that can be obtained from  $G(n)$  by replacing finitely many triangles by  $Y$ 's will also be intrinsically  $(n + 1)$ -linked.

Since  $G(1)$  is one of the Petersen graphs (cf. [Sa]), it follows from results of [RST] (stated above) that every minor minimal intrinsically 2-linked graph can be obtained from  $G(1)$  by a finite sequence of  $\Delta Y$  and  $Y\Delta$  moves. One might ask if the analogous statement holds for intrinsically  $n$ -linked graphs. That is, can every minor minimal intrinsically  $n$ -linked graph be obtained from  $G(n - 1)$  by a finite sequence of  $\Delta Y$  and  $Y\Delta$  moves? This is not the case in general. In the final section of this paper we exhibit a minor minimal intrinsically 3-linked graph that cannot be obtained from  $G(2)$  by a finite sequence of  $\Delta Y$  and  $Y\Delta$  moves. Nonetheless, it follows from [RS] that for every  $n \in \mathbb{N}$ , there are only finitely many minor minimal intrinsically  $n$ -linked graphs. For  $n \geq 3$ , it would be interesting to determine the complete list of such graphs.

## 2. CONSTRUCTION OF THE GRAPHS $G(n)$

Let  $E$  denote the graph that is illustrated in Figure 2. The reader will notice that this graph is the same as  $G(1)$ , which was introduced above. (Sachs [Sa] refers to this graph as  $K_{4,4}$ ). This graph is one of the Petersen graphs and hence is minor minimal with respect to being intrinsically 2-linked. Figure 3 illustrates a particular embedding of  $G(1)$  in  $\mathbb{R}^3$ , which will be useful in the proof of Theorem 2.

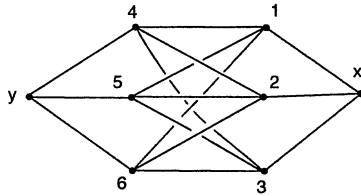


FIGURE 3. The graph  $E$  is intrinsically linked

The graph  $G(n)$  can be constructed as follows. We start with  $n$  distinct copies of  $E$ , which we will denote by  $E_1, \dots, E_n$ , where the vertices of  $E_i$  are labelled by  $1_i, \dots, 6_i, x_i$  and  $y_i$  corresponding to the labeling of the vertices of  $E$ . We create the graph  $G(n)$  from  $\bigcup_{i=1}^n E_i$  by identifying the pair of vertices  $x_i$  and  $y_{i+1}$ , and adding an edge between the pair of vertices  $1_i$  and  $4_{i+1}$ , between the pair of vertices  $2_i$  and  $5_{i+1}$ , and between the pair of vertices  $3_i$  and  $6_{i+1}$ , for each  $i = 1, \dots, n - 1$ . We illustrate an embedding of  $G(2)$  in Figure 4. A non-split link of three components is highlighted.

Observe that in Figure 4, if we ignore the vertex labels then the embedding of  $E_2$  can be obtained from the embedding of  $E_1$  by performing a rotation by  $180^\circ$ . For any natural number  $n$ , we create an embedding of  $G(n)$  as follows. Start with the embedding of  $E_1$  that is illustrated in Figure 3. Then for each  $i = 2, \dots, n$ , sequentially embed  $E_i$  by rotating the embedding of  $E_{i-1}$  by  $180^\circ$ . In Figure 5, we illustrate this embedding of  $G(3)$  with a non-split link of four components highlighted.

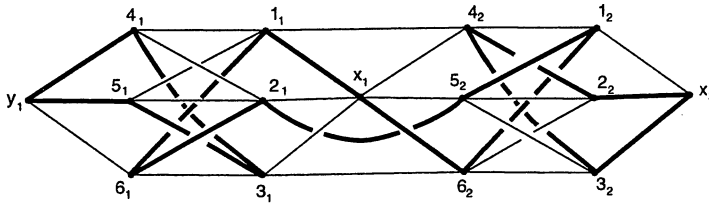


FIGURE 4. An embedding of  $G(2)$  with a non-split link of three components highlighted

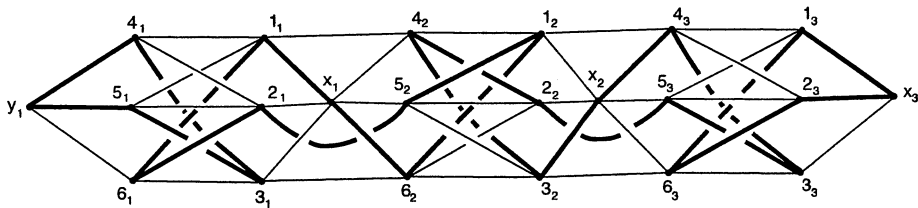


FIGURE 5. An embedding of  $G(3)$  with a non-split link of four components highlighted

By inspection we can see that for any  $n$ , this embedding of  $G(n)$  contains a non-split link of  $(n + 1)$  components. In Section 3, we will prove that every embedding of  $G(n)$  contains a non-split link of  $(n + 1)$  components.

### 3. $G(n)$ IS INTRINSICALLY $(n + 1)$ -LINKED

To prove Theorem 1, we will actually prove a slightly stronger statement. We will show that every embedding of  $G(n)$  contains a link  $L = L_1 \cup L_2 \cup \dots \cup L_{n+1}$  such that each  $L_i$  has non-zero mod 2 linking number with  $L_{i+1}$ . For any pair of disjoint simple closed curves  $A$  and  $B$  in  $\mathbb{R}^3$ , we denote the mod 2 linking number of  $A$  and  $B$  by  $\omega(A, B)$ . With this notation in hand, we prove the following lemma using an elementary homology argument.

**Lemma 1.** *Let  $G$  be a graph embedded in  $\mathbb{R}^3$  containing simple closed curves  $C_1, C_2, C_3$ , and  $C_4$  such that the following properties hold.*

- a)  $C_1$  and  $C_4$  are disjoint from each other and from both  $C_2$  and  $C_3$ .
- b)  $C_2$  and  $C_3$  intersect in precisely one vertex  $x$ .
- c)  $\omega(C_1, C_2) = 1$  and  $\omega(C_3, C_4) = 1$ .
- d) There are vertices  $u \neq x$  in  $C_2$  and  $v \neq x$  in  $C_3$  and a path  $P$  in  $G$  with endpoints  $u$  and  $v$  whose interior is disjoint from each of the  $C_i$ .

*Then there exists a simple closed curve  $S \subset (C_2 \cup C_3 \cup P)$  such that  $\omega(S, C_1) = 1$  and  $\omega(S, C_4) = 1$ .*

*Proof.* We know that  $[C_2]$  is non-trivial in  $H_1(\mathbb{R}^3 - C_1; \mathbb{Z}_2)$ , and  $[C_3]$  is non-trivial in  $H_1(\mathbb{R}^3 - C_4; \mathbb{Z}_2)$ . If either  $[C_2]$  is non-trivial in  $H_1(\mathbb{R}^3 - C_4; \mathbb{Z}_2)$ , or  $[C_3]$  is non-trivial in  $H_1(\mathbb{R}^3 - C_1; \mathbb{Z}_2)$ , then we are done. So we assume that this is not the case. Let  $D_2$  denote

a path in  $C_2$  with endpoints  $u$  and  $x$ , and let  $D_3$  denote a path in  $C_3$  with endpoints  $v$  and  $x$ . Let  $A = D_2 \cup D_3 \cup P$ .

First suppose that  $[A]$  is non-trivial in either  $H_1(\mathbb{R}^3 - C_1; \mathbb{Z}_2)$  or  $H_1(\mathbb{R}^3 - C_4; \mathbb{Z}_2)$ . Without loss of generality we assume that  $[A]$  is non-trivial in  $H_1(\mathbb{R}^3 - C_1; \mathbb{Z}_2)$ . If  $[A]$  is also non-trivial in  $H_1(\mathbb{R}^3 - C_4; \mathbb{Z}_2)$ , then we can let  $S = A$ . So we assume that  $[A]$  is trivial in  $H_1(\mathbb{R}^3 - C_4; \mathbb{Z}_2)$ . Let  $B_3$  denote the simple closed curve obtained from  $C_3 \cup A$  by omitting the interior of the arc  $D_3$ . In  $H_1(\mathbb{R}^3 - C_4; \mathbb{Z}_2)$  we have the equation  $[C_3] + [A] = [B_3]$ . Thus  $[B_3]$  must be non-trivial in  $H_1(\mathbb{R}^3 - C_4; \mathbb{Z}_2)$ . In  $H_1(\mathbb{R}^3 - C_1; \mathbb{Z}_2)$  we also have the equation  $[C_3] + [A] = [B_3]$ . Thus  $[B_3]$  must also be non-trivial in  $H_1(\mathbb{R}^3 - C_4; \mathbb{Z}_2)$ . So we can let  $S = B_3$ .

So now we assume that  $[A]$  is trivial in both  $H_1(\mathbb{R}^3 - C_1; \mathbb{Z}_2)$  and  $H_1(\mathbb{R}^3 - C_4; \mathbb{Z}_2)$ . Let  $S$  denote the simple closed curve obtained from  $C_2 \cup C_3 \cup P$  by removing the interiors of the arcs  $D_2$  and  $D_3$ . Then we have the equation  $[C_2] + [C_3] + [A] + [S] = 0$  in both  $H_1(\mathbb{R}^3 - C_1; \mathbb{Z}_2)$  and  $H_1(\mathbb{R}^3 - C_4; \mathbb{Z}_2)$ . It follows that  $[S]$  is non-trivial in both  $H_1(\mathbb{R}^3 - C_1; \mathbb{Z}_2)$  and  $H_1(\mathbb{R}^3 - C_4; \mathbb{Z}_2)$ .  $\square$

We are now ready to prove Theorem 1, which states that the graph  $G(n)$  is intrinsically  $(n + 1)$ -linked.

*Proof of Theorem 1.* We will use induction on  $n$  to prove the following more specific statement, from which our theorem follows.

Claim: Every embedding of  $G(n)$  in  $\mathbb{R}^3$  contains an  $(n + 1)$ -component link  $L = L_1 \cup L_2 \cup \dots \cup L_{n+1}$  such that  $L_1$  contains vertex  $y_1$ ,  $L_{n+1}$  contains vertex  $x_n$ , and  $\omega(L_i, L_{i+1}) = 1$  for  $i = 1, \dots, n$ .

Proof of Claim: For  $n = 1$ , we know from Sachs' Theorem [Sa] that every embedding of  $G(1)$  in  $\mathbb{R}^3$  necessarily contains a link  $L = L_1 \cup L_2$  such that  $\omega(L_1, L_2) = 1$  and  $L_1$  contains  $y_1$  and  $L_2$  contains  $x_1$ .

We now assume the claim is true for  $G(n - 1)$  and show that it holds for  $G(n)$ . Suppose that  $G(n)$  is embedded in  $\mathbb{R}^3$ . Then  $G(n - 1) \subset G(n)$  contains a link  $L = L_1 \cup L_2 \cup \dots \cup L_n$  such that  $L_1$  contains the vertex  $y_1$ ,  $L_n$  contains the vertex  $x_{n-1}$  and  $\omega(L_i, L_{i+1}) = 1$  for  $i = 1, \dots, n - 1$ . Also,  $E_n$  contains a 2-component link, whose components we denote by  $C_3$  and  $C_4$ , such that  $\omega(C_3, C_4) = 1$  and  $C_3$  contains  $x_{n-1}$  and  $C_4$  contains  $x_n$ . Now since  $L_n$  is contained in  $G(n - 1)$  and contains  $x_{n-1}$ , it must contain at least two of the vertices  $1_{n-1}$ ,  $2_{n-1}$ , or  $3_{n-1}$ . Also since  $C_3$  is contained in  $E_n$  and contains  $x_{n-1}$  it must contain at least two of the vertices  $4_n$ ,  $5_n$ , or  $6_n$ . Thus  $L_n \cup C_3$  must contain one of the pairs of vertices  $\{1_{n-1}, 4_n\}$ ,  $\{2_{n-1}, 5_n\}$ , or  $\{3_{n-1}, 6_n\}$ . Without loss of generality,  $L_n \cup C_3$  contains  $\{1_{n-1}, 4_n\}$ .

Now let  $C_1 = L_{n-1}$ ,  $C_2 = L_n$ ,  $u = 1_{n-1}$ ,  $v = 4_n$ , and  $P = \overline{1_{n-1}4_n}$ . We want to apply Lemma 1 to  $C_1$ ,  $C_2$ ,  $C_3$ , and  $C_4$ , but first we make some observations. Since  $C_1$  is contained in  $G(n - 1) - \{x_{n-1}\}$  and  $C_3$  and  $C_4$  are contained in  $E_n$ ,  $C_1$  is disjoint from  $C_3$  and  $C_4$ . Also because  $C_1$  and  $C_2$  are components of  $L$  they are disjoint, and since  $C_3$  and  $C_4$  are components of a link they are disjoint. Finally since  $C_4$  is contained in  $E_n - \{x_{n-1}\}$  and  $C_2$  is contained in  $G(n - 1)$ ,  $C_4$  is disjoint from  $C_2$ . Furthermore, since  $C_2$  is contained in  $G(n - 1)$  and  $C_3$  is contained in  $E_n$  they intersect only at the vertex  $x_{n-1}$ . Thus we can apply Lemma 1 to conclude that there is a simple closed curve  $S$  in  $C_2 \cup C_3 \cup P$  such

that  $\omega(L_{n-1}, S) = \omega(C_1, S) = 1$  and  $\omega(C_4, S) = 1$ . Let  $K = L_1 \cup L_2 \cup \dots \cup L_{n-1} \cup S \cup C_4$ . Then  $K$  is an  $(n + 1)$ -component link that satisfies our claim.

Hence we have proved our claim, and thus the theorem is proven as well.  $\square$

4. EVERY EMBEDDING OF  $F(n)$  CONTAINS AN  $n$ -NECKLACE

We now construct the graph  $F(n)$  as follows. We start with  $G(n)$  and identify the vertices  $y_1$  and  $x_n$ , to a vertex which we still call  $x_n$ . Then we add edges between the pair of vertices  $\{1_n, 4_1\}$ , between the pair of vertices  $\{2_n, 5_1\}$ , and between the pair of vertices  $\{3_n, 6_1\}$ . The graph  $F(n)$  is illustrated in Figure 2. The corollary below follows from the proof of Theorem 1.

**Corollary.** For  $n \geq 3$ , let  $F(n)$  denote the graph illustrated in Figure 2. Then every embedding of  $F(n)$  in  $\mathbb{R}^3$  contains an  $n$ -necklace.

*Proof.* Let  $F(n)$  be embedded in  $\mathbb{R}^3$ . We choose an expansion of the vertex  $x_n$  to an edge  $e$  with vertices  $y_1$  and  $x_n$  such that  $y_1$  is connected to vertices  $\{4_1, 5_1, 6_1\}$  and  $x_n$  is connected to vertices  $\{1_n, 2_n, 3_n\}$ . This embedded expansion determines an embedding of the subgraph  $G(n)$ . By the proof of Theorem 1,  $G(n)$  contains an  $(n + 1)$ -component link  $L_1 \cup L_2 \cup \dots \cup L_{n+1}$  such that  $L_1$  contains the vertex  $y_1$ ,  $L_{n+1}$  contains the vertex  $x_n$  and  $\omega(L_i, L_{i+1}) = 1$  for  $i = 1, \dots, n$ . From here the proof is similar to that of Theorem 1. Since  $L_1$  contains  $y_1$  it must contain two of the vertices  $\{4_1, 5_1, 6_1\}$ , and since  $L_{n+1}$  contains  $x_n$  it must contain two of the vertices  $\{1_n, 2_n, 3_n\}$ . Thus without loss of generality,  $L_1 \cup L_{n+1}$  contains the pair  $\{5_1, 2_n\}$ . By hypothesis  $n \geq 3$ , hence  $L_2$  is disjoint from  $L_n$ .

We now collapse the edge  $e$ , so that we have our original embedding of the graph  $F(n)$ . In this embedding  $L_1$  and  $L_n$  share the vertex  $x_n$ . Now we apply Lemma 1 where  $C_1 = L_2$ ,  $C_2 = L_1$ ,  $C_3 = L_{n+1}$ ,  $C_4 = L_n$ ,  $u = 5_1$ ,  $v = 2_n$ , and  $p = \overline{5_1 2_n}$ . Thus we get a simple closed curve  $K_1$  in  $L_1 \cup L_{n+1} \cup p$  such that  $\omega(K_1, L_2) = \omega(K_1, L_n) = 1$ . Now  $K_1 \cup L_2 \cup \dots \cup L_n$  is an  $n$ -necklace.  $\square$

5. NO MINOR OF  $G(n)$  IS INTRINSICALLY  $(n + 1)$ -LINKED

We shall begin by considering the particular embedding of  $G(n)$  that we described in Section 2. So as to avoid confusing the abstract graph  $G(n)$  with this particular embedding of it in  $\mathbb{R}^3$ , we shall refer to the embedded graph as  $H(n)$ , and for each  $i$ , the particular embedding of  $E_i$  will be denoted by  $F_i$ . In Figure 6, we illustrate  $H(n)$  where  $n$  is even and a particular non-split link of  $(n + 1)$  components has been highlighted.

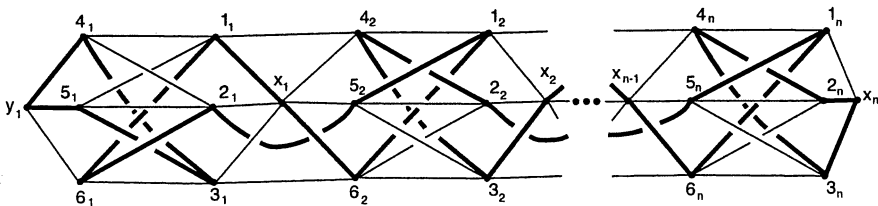


FIGURE 6. For  $n$  even,  $H(n)$  contains this non-split link of  $n + 1$  components

In Figure 7, we illustrate  $H(n)$  where  $n$  is odd and the analogous non-split link of  $n + 1$  components has been highlighted.

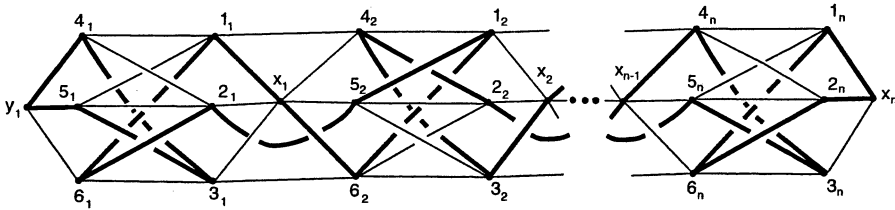


FIGURE 7. For  $n$  odd,  $H(n)$  contains this non-split link of  $n + 1$  components

For any  $n$ , we shall denote this link by  $L(n + 1)$  and the components of  $L(n + 1)$  by  $L_1, \dots, L_{n+1}$ , from left to right as they appear in Figures 6 and 7. Observe that  $L_1$  is contained in  $F_1$ ,  $L_{n+1}$  is contained in  $F_n$ , and for each  $i = 2, \dots, n$ , the component  $L_i$  is contained in  $F_{i-1} \cup F_i$ .

We will first prove a lemma which shows that  $L_1 \cup \dots \cup L_{n+1}$  is the only  $(n + 1)$ -component non-split link in  $H(n)$ . Then we will use this lemma to prove Theorem 2 by showing that for any edge  $e$  in  $G(n)$  there is an automorphism of  $G(n)$  which takes  $e$  to an edge  $f$  such that  $H(n) - f$  (i.e.  $H(n)$  with the edge  $f$  removed) will contain no  $(n + 1)$ -component link. Similarly, for any edge  $e$  in  $G(n)$ , we will show that there is an automorphism of  $G(n)$  which takes  $e$  to an edge  $f$  such that  $H(n)/f$  (i.e.  $H(n)$  with the edge  $f$  contracted) will contain no  $(n + 1)$ -component link.

**Lemma 2.** For each natural number  $n$ ,  $L(n + 1)$  is the only  $(n + 1)$ -component non-split link in  $H(n)$ , and  $H(n)$  contains no non-split link of more than  $n + 1$  components.

*Proof.* We use induction on  $n$ . Figure 8 illustrates  $H(1)$  with the link  $L(2) = L_1 \cup L_2$  highlighted. Observe that each component of any link in  $H(1)$  must contain four vertices since there are no triangles in  $G(1)$ . Thus any link in  $H(1)$  must contain all eight vertices. By inspection we can see that the highlighted simple closed curve  $y_1 5_1 3_1 4_1$  is the only 4-edge cycle containing  $y_1$  that does not bound a disk in  $\mathbb{R}^3 - H(1)$ . It follows that  $L(2)$  is the unique non-split link of two components in  $H(1)$ . Furthermore, it is clear that  $H(1)$  contains no link of more than two components.

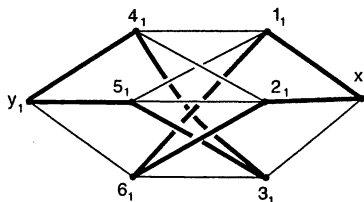


FIGURE 8.  $L(2)$  is the unique non-split link of two components in  $H(1)$

Now assume that  $L(n)$  is the unique  $n$ -component non-split link contained in  $H(n-1)$ , and  $H(n-1)$  contains no non-split link of more than  $n$  components. Suppose that  $H(n)$  contains some non-split link  $K = K_1 \cup \dots \cup K_m$  where  $m \geq n+1$ . It suffices to show that  $K = L(n+1)$ .

From here our proof will proceed according to the following outline. First we will show that  $K$  is isotopic to some non-split link  $J = J_1 \cup \dots \cup J_m$  no component of which contains two edges of any triangle in  $H(n)$ . Then we will prove that there is some component of  $J$  that is not contained entirely in either  $H(n-1)$  or  $F_n$ . Next we will use the inductive hypothesis to prove that there is a component which is entirely contained in  $F_n$ . Then we will prove that if we remove the component of  $J$  which is contained in  $F_n$ , we will obtain a non-split link of  $n$  components. This, together with our inductive hypothesis, will enable us to show that  $J = L(n+1)$ . Finally, we will prove that, in fact,  $K = J$ .

We begin by observing that in  $H(n)$ , for every  $j = 2, \dots, n$ , the triangles  $1_{j-1}x_{j-1}4_j$ ,  $2_{j-1}x_{j-1}5_j$ , and  $3_{j-1}x_{j-1}6_j$  each bound a disk whose interior is in  $\mathbb{R}^3 - H(n)$ . Thus none of these triangles is a component of our non-split link  $K$ . Suppose that some component  $K_i$  of  $K$  contains two of the edges of one of these triangles. Let  $K'_i$  denote the simple closed curve that is obtained from  $K_i$  by replacing these two edges of a triangle by the third side of the triangle. Note that  $K'_i$  is ambient isotopic to  $K_i$  in  $\mathbb{R}^3 - (K - K_i)$ . Let  $J$  denote the link we obtain from  $K$  by replacing each  $K_i$  that contains two sides of a triangle by  $K'_i$ . (If there are no components  $K_i$  that contain two sides of a triangle, we let  $J = K$ .) Let the components of  $J$  be denoted by  $J_1, \dots, J_m$ . Then  $J$  is an  $m$ -component non-split link no component of which contains two sides of a single triangle. It follows that if any component  $J_i$  contains precisely one of the vertices  $1_j, 2_j, 3_j$ , then  $J_i$  is contained in  $H(j)$ . Similarly if any component  $J_i$  contains precisely one of the vertices  $4_j, 5_j$ , or  $6_j$ , then  $J_i$  is disjoint from  $H(j-1)$ .

Now, since  $H(n-1)$  contains no non-split link of more than  $n$  components,  $J$  cannot be contained in  $H(n-1)$ . Thus  $J$  has some component that is not contained in  $H(n-1)$ . Suppose that every component  $J_i$  that is not contained in  $H(n-1)$  is contained in  $F_n$ . Then  $J$  would be split. Hence there is some component, say  $J_n$  that is not contained entirely in either  $H(n-1)$  or in  $F_n$ . Thus  $J_n$  contains at least two of the vertices  $1_{n-1}, 2_{n-1}$ , and  $3_{n-1}$ , and at least two of the vertices  $4_n, 5_n$ , and  $6_n$ .

Since at most one of the vertices  $4_n, 5_n$ , and  $6_n$  is not contained in  $J_n$  and no component of  $J$  contains two sides of a triangle, every component of  $J - J_n$  must be contained in either  $H(n-1)$  or in  $F_n$ . Also, since at most one of the vertices  $1_{n-1}, 2_{n-1}$ , and  $3_{n-1}$  is not contained in  $J_n$ , no component of  $J - J_n$  can contain the vertex  $x_{n-1}$  because no component contains two sides of a triangle. Suppose that no component of  $J$  is entirely contained in  $F_n$ . Then  $J - J_n$  is contained in  $H(n-1) - \{x_{n-1}\}$ . Therefore,  $J_n$  is ambient isotopic in  $\mathbb{R}^3 - (J - J_n)$  to a simple closed curve in  $H(n-1)$ . This implies that there is a non-split link of  $m \geq n+1$  components in  $H(n-1)$ . As this contradicts our inductive hypothesis, we can conclude that there must be a component of  $J$ , say  $J_{n+1}$ , that is entirely contained in  $F_n$ .

Now, as  $J_n$  contains at least two of the vertices  $4_n, 5_n$ , and  $6_n$ , it must also contain at least one of the vertices  $1_n, 2_n$ , and  $3_n$ . There is no simple closed curve in  $F_n$  that contains only three vertices. Since  $J_{n+1}$  is contained in  $F_n$  and cannot contain  $x_{n-1}$ , it must contain one vertex from among  $4_n, 5_n$ , and  $6_n$ , two vertices from among  $1_n, 2_n$ , and



$3_n$ , and the vertex  $x_n$ . By inspection we can see that if  $n$  is even, then the only 4-edge cycle in  $F_n$  containing the vertex  $x_n$  which does not bound a disk in  $\mathbb{R}^3 - H(n)$  is  $x_n 3_n 4_n 2_n$  (highlighted in Figure 6). If  $n$  is odd, then the only 4-edge cycle in  $F_n$  containing the vertex  $x_n$  that does not bound a disk in  $\mathbb{R}^3 - H(n)$  is  $x_n 1_n 6_n 2_n$  (highlighted in Figure 7). Thus  $J_{n+1} = x_n 3_n 4_n 2_n$  when  $n$  is even and  $J_{n+1} = x_n 1_n 6_n 2_n$  when  $n$  is odd. Furthermore, when  $n$  is even,  $J_n$  must contain the vertices  $5_n, 6_n,$  and  $1_n$ ; and when  $n$  is odd,  $J_n$  must contain the vertices  $5_n, 4_n,$  and  $3_n$ .

We will consider the links obtained from  $J$  by removing the components  $J_{n+1}$  and  $J_n$ . Let  $J' = J - J_{n+1}$  and let  $J'' = J' - J_n$ .

Claim:  $J'$  is a non-split link of  $n$  components, and  $m = n + 1$ .

Proof of Claim: Suppose that the link  $J'$  is split. We will show that this assumption implies that  $J$  is split. Since  $J'$  is split, there is a 2-sphere  $F$  in  $\mathbb{R}^3 - J'$  with some component of  $J'$  in each component of  $\mathbb{R}^3 - F$ . Let the components of  $\mathbb{R}^3 - F$  be denoted by  $A$  and  $B$ . Then  $A$  and  $B$  each contain at least one component of  $J'$ . Now without loss of generality  $J_n$  is contained in  $A$  and for some  $q \notin \{n, n + 1\}$ , the component  $J_q$  is contained in  $B$ . Observe that  $J_{n+1}$  bounds a disk  $D$  which is disjoint from  $J_1 \cup \dots \cup J_{n-1}$  and is punctured once by  $J_n$ . If  $J_{n+1}$  were disjoint from  $F$  then  $J$  would be split. So we can assume that  $J_{n+1}$  intersects  $F$ . However, using the disk  $D$  and a standard innermost disk and arc argument, we can isotop  $F$  to a 2-sphere  $F'$  which is disjoint from  $J_{n+1}$ . Furthermore,  $F'$  is disjoint from  $J$ , and one component of  $\mathbb{R}^3 - F'$  contains  $J_n \cup J_{n+1}$  while the other component of  $\mathbb{R}^3 - F'$  contains  $J_q$ . It follows that  $J$  is a split link. As this contradicts our hypothesis we conclude that  $J'$  is a non-split link of  $m - 1$  components.

Recall that every component of  $J''$  is contained in  $H(n - 1)$ , and  $J_n$  is ambient isotopic in  $\mathbb{R}^3 - J''$  to a simple closed curve  $P$  in  $H(n - 1)$ . Thus  $J'' \cup P$  is a non-split link of  $m - 1$  components which is entirely contained in  $H(n - 1)$ . Now by our inductive hypothesis, we must have  $m - 1 \leq n$ . By hypothesis  $m \geq n + 1$ , hence in fact  $m = n + 1$  and our claim is proven.

Recall that at most one of the vertices  $1_{n-1}, 2_{n-1},$  and  $3_{n-1}$  is not contained in  $J_n$ , so no component of  $J''$  can contain the vertex  $x_{n-1}$ . Now,  $J_n$  is ambient isotopic in  $\mathbb{R}^3 - J''$  to the simple closed curve  $P$  in  $H(n - 1)$  that contains the same vertices of  $H(n - 1)$  as  $J_n$  does. So  $J'' \cup P$  is a non-split link of  $n$  components which is contained in  $H(n - 1)$ . Thus by our inductive hypothesis  $J'' \cup P = L(n)$ . If  $n - 1$  is odd, then  $P = x_{n-1} 1_{n-1} 6_{n-1} 2_{n-1}$ ; and if  $n - 1$  is even, then  $P = x_{n-1} 3_{n-1} 4_{n-1} 2_{n-1}$ . Thus if  $n - 1$  is odd,  $J_n = x_{n-1} 1_{n-1} 6_{n-1} 2_{n-1} 5_n 1_n 6_n$ . On the other hand, if  $n - 1$  is even,  $J_n = x_{n-1} 3_{n-1} 4_{n-1} 2_{n-1} 5_n 3_n 4_n$ . It follows that  $J = L(n + 1)$ .

Suppose that  $K \neq J$ ; then some component  $K_i$  of  $K$  contains two sides of a triangle, while the component  $J_i$  which replaces  $K_i$  in  $J$  contains only one side of that triangle. In particular, this means that  $J$  contains fewer vertices than  $K$ . As  $J = L(n + 1)$  contains every vertex of  $H(n)$ , we must have  $K = J = L(n + 1)$ .  $\square$

Now we shall use Lemma 2 to prove Theorem 2.

**Theorem 2.** *For every natural number  $n$ ,  $G(n)$  is minor minimal with respect to being intrinsically  $(n + 1)$ -linked.*

*Proof.* Theorem 1 states that  $G(n)$  is intrinsically  $(n + 1)$ -linked. Now we will show that no minor of  $G(n)$  is intrinsically  $(n + 1)$ -linked by showing that for every edge  $e$  in  $G(n)$  there are embeddings of  $G(n) - e$  and  $G(n)/e$  that contain no non-split link of  $n + 1$  components.

Up to automorphism of  $G(n)$ , the edges of  $G(n)$  can be grouped into the following types. Edges of type  $a$  are those with one endpoint which is either  $y_1$  or  $x_n$ . Edges of type  $b_i$  are those with one endpoint in the set  $\{1_i, 2_i, 3_i\}$ , and the other endpoint in the set  $\{4_i, 5_i, 6_i\}$ . Edges of type  $c_i$  are those with one endpoint which is  $x_i$  and  $i \neq n$ . Edges of type  $d_i$  are those of the form  $1_i 4_{i+1}$ ,  $2_i 5_{i+1}$ , and  $3_i 6_{i+1}$ .

We will start with the embedding  $H(n)$ , and show that for each of the above types of edges we can remove some edge  $e$  of that type such that  $H(n) - e$  will contain no non-split link of  $n + 1$  components. Then we will show that for each of the above types of edges, we can collapse some edge  $e$  of that type such that  $H(n)/e$  will contain no non-split link of  $n + 1$  components. Since for every two edges of the same type there is an automorphism of  $G(n)$  taking one to the other, it is enough to pick one edge from each type to prove the theorem.

For each type, we choose to remove the following edge  $e$ . For type  $a$ , we choose  $e = \overline{y_1 4_1}$ . For type  $b_i$ , we choose  $e = \overline{4_i 3_i}$ . For type  $c_i$ , we choose  $e = \overline{x_i 1_i}$  if  $i$  is odd and  $e = \overline{x_i 3_i}$  if  $i$  is even. For type  $d_i$ , we choose  $e = \overline{2_i 5_{i+1}}$ . Each of these edges is contained in  $L(n + 1)$ . By Lemma 2,  $L(n + 1)$  is the only non-split link of  $n + 1$  components in  $H(n)$ . Hence if we remove any of the above edges  $e$  from  $H(n)$ , the graph will contain no non-split  $(n + 1)$ -component link.

Now we will consider  $H(n)/e$  for each type of edge  $e$ . First, note that if  $e$  is in a triangle, then collapsing  $e$  will create two edges with the same vertices. In this case the definition of minor requires that one of these two edges be omitted. We have seen that every triangle in  $H(n)$  bounds a disk whose interior is in the complement of  $H(n)$ . Thus, if the edge  $e$  is contained in a triangle in  $H(n)$ , then collapsing  $e$  gives a pair of edges which cobound a disk. Hence it makes no difference which of these two edges we omit from the embedding of  $H(n)/e$ . Thus, the embedding of  $H(n)/e$  is well defined up to isotopy.

For each type of edge, we choose to collapse the following edge  $e$ . For type  $a$ , we choose  $e = \overline{y_1 6_1}$ . For type  $b_i$ , we choose  $e = \overline{1_i 4_i}$ . For type  $c_i$ , we choose  $e = \overline{x_i 3_i}$  if  $i$  is odd and  $e = \overline{x_i 1_i}$  if  $i$  is even. Finally, for type  $d_i$ , we choose  $e = \overline{1_i 4_{i+1}}$ . Observe that each of these edges  $e$  has one endpoint  $v$  in one component of  $L(n + 1)$  and the other endpoint  $w$  in a different component of  $L(n + 1)$ . Suppose that  $H(n)/e$  contains a non-split link  $Q$  of  $n + 1$  components. If no component of  $Q$  contains the collapsed vertex  $vw$  then  $Q$  is contained in  $H(n) - \{v, w\}$ . As  $L(n + 1)$  contains every vertex of  $H(n)$  this is not possible. So some component  $Q_i$  of  $Q$  must contain the collapsed vertex  $vw$ . Then  $H(n)$  will contain a non-split link  $R$  of  $n + 1$  components, where  $R$  is identical to  $Q$  except that the component  $Q_i$  has been replaced by a simple closed curve  $R_i$  in  $H(n)$  such that  $R_i$  contains either the vertex  $v$ , the vertex  $w$ , or the vertices  $v$  and  $w$  and the edge  $e = \overline{vw}$ . In any case, no component of  $R - R_i$  will contain the vertex  $v$  or the vertex  $w$ . This is impossible, since  $L(n + 1)$  is the only non-split link of  $n + 1$  components in  $H(n)$ , and  $v$  and  $w$  are contained in different components of  $L(n + 1)$ . Thus  $H(n)/e$  contains no non-split link of  $n + 1$  components.

Hence no minor of  $G(n)$  is intrinsically  $(n + 1)$ -linked, and so  $G(n)$  is minor minimal with respect to being intrinsically  $(n + 1)$ -linked.  $\square$

6. MINOR MINIMAL INTRINSICALLY 3-LINKED GRAPHS

Robertson, Seymour, and Thomas [RST] proved that any intrinsically 2-linked graph contains one of the Petersen graphs as a minor. Each of the Petersen graphs can be obtained from any one of the Petersen graphs (and from  $G(1)$  in particular) by a finite sequence of  $\Delta Y$  and  $Y\Delta$  moves. It is natural to wonder whether the complete list of graphs that are minor minimal with respect to being intrinsically 3-linked can be obtained from our graph  $G(2)$  by a finite sequence of such moves. We will now show as follows that this is not possible.

Consider the graph  $J$  that is illustrated in Figure 9. We will first show that  $J$  is intrinsically 3-linked, and then show that  $J$  cannot have a minor which is obtained from  $G(2)$  by a finite sequence of  $\Delta Y$  and  $Y\Delta$  moves.

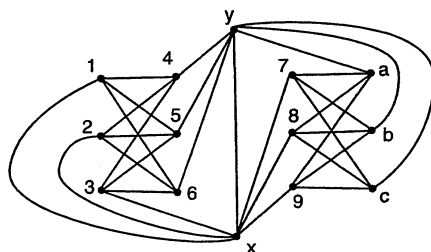


FIGURE 9. The graph  $J$  is intrinsically 3-linked

Suppose that  $J$  is embedded in  $\mathbb{R}^3$ . Let  $J_1$  denote the subgraph of  $J$  with vertices 1, 2, 3, 4, 5, 6,  $x$ , and  $y$ . Let  $J_2$  denote the subgraph of  $J$  with vertices 7, 8, 9,  $a$ ,  $b$ ,  $c$ ,  $x$ , and  $y$ . Let  $e = \overline{xy}$ . We now collapse the edge  $e$  in the embedded graphs  $J_1$  and  $J_2$ . Observe that  $J_1/e$  is isomorphic to the graph  $K_{3,3,1}$ , and hence by Sachs' Theorem [Sa] contains a square  $S_1$  and a triangle  $T_1$  such that  $\omega(S_1, T_1) = 1$ . Let  $z$  denote the vertex obtained from  $x$  and  $y$  by collapsing the edge  $e$ . Then  $z$  is necessarily contained in  $T_1$ . Observe that in  $J_1$   $x$  is adjacent to 1, 2, and 3, but not to 4, 5, or 6, and  $y$  is adjacent to 4, 5, and 6 but not to 1, 2, or 3. The triangle  $T_1$  in  $J_1/e$  comes from a square  $K_1$  in  $J_1$  by collapsing the edge  $e$ , and the square  $S_1$  is contained in  $J_1$  and is disjoint from  $K_1$ . It follows that  $e \subset K_1$  and  $\omega(S_1, K_1) = 1$ . By a similar argument in  $J_2/e$  we obtain a pair of disjoint squares  $S_2$  and  $K_2$  in  $J_2$  such that  $e \subset K_2$  and  $\omega(S_2, K_2) = 1$ . Observe that  $K_1 \cap K_2 = e$ ,  $S_1 \cap K_2 = \phi$ ,  $S_2 \cap K_1 = \phi$ , and  $S_1 \cap S_2 = \phi$ .

Now we apply the following elementary lemma from [FNP], whose proof is similar to the proof of our Lemma 1. It follows from this lemma that  $J$  has a non-split link of three components. Hence  $J$  is intrinsically 3-linked.

**Lemma [FNP].** *Suppose  $J$  is a graph that is embedded in  $\mathbb{R}^3$ , and contains simple closed curves  $S_1$ ,  $K_1$ ,  $K_2$ , and  $S_2$ . Suppose that  $S_1$  and  $S_2$  are disjoint from each other and both are disjoint from  $K_1$  and  $K_2$ , and  $K_1 \cap K_2$  is an arc. If  $\omega(S_1, K_1) = 1$  and  $\omega(S_2, K_2) = 1$ , then  $J$  contains a non-split link of three components.*

It now follows that  $J$  contains some minor  $M$  which is minor minimal with respect to

being intrinsically 3-linked. Observe that  $J$  has 31 edges, hence  $M$  has no more than 31 edges. Performing  $Y\Delta$  and  $\Delta Y$  moves on a graph does not change the total number of edges in the graph. Thus, since  $G(2)$  has 33 edges,  $M$  cannot be obtained from  $G(2)$  by a finite sequence of  $\Delta Y$  and  $Y\Delta$  moves.

It follows from this example that the complete list of graphs which are minor minimal with respect to being intrinsically 3-linked cannot be obtained from  $G(2)$  by a finite sequence of such moves. This suggests that finding this list may be a difficult problem.

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