TOPOLOGICAL SYMMETRY GROUPS OF $K_{4r+3}$

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Abstract. We present the concept of the topological symmetry group as a way to analyze the symmetries of non-rigid molecules. Then we characterize all of the groups which can occur as the topological symmetry group of an embedding of a complete graph of the form $K_{4r+3}$ in $S^3$.

1. Topological symmetry groups. Knowing the symmetries of a molecule helps to predict its chemical behavior. But, what exactly do we mean by “symmetries?” If we consider only rigid molecules, then the molecular symmetries are rotations, reflections, and reflections composed with rotations. Chemists have defined the point group of a molecule as its group of rigid symmetries. While this is a useful tool for rigid molecules, it can be misleading when applied to non-rigid molecules. For example, consider the molecule illustrated in Figure 1. The Cl on the far left is in front of the page, the Cl in the middle is behind the page, and the Cl on the right is in the page.

Figure 1. The point group of this molecule is the cyclic group $Z_2$. 

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The point group of the molecule in Figure 1 is the cyclic group $\mathbb{Z}_2$ because the only rigid symmetry of the molecule is a reflection through the plane which contains the three hexagons. However, the three Cl's at the top can rotate around the bond connecting them to the hexagons (as indicated by the arrow) while the hexagons themselves remain fixed. We would like to define a group which includes both the rigid and non-rigid symmetries of molecules.

We begin by defining a molecular graph as a graph embedded in $\mathbb{R}^3$ where the edges represent bonds and the vertices represent atoms or groups of atoms. We then use the following definition.

**Definition 1.1.** An automorphism of a molecular graph $\gamma$ is a permutation of the vertices preserving adjacency, taking atoms of a given type to atoms of the same type (e.g., carbons go to carbons, oxygens go to oxygens, and so on). $\text{Aut}(\gamma)$ is defined as the group of automorphisms of $\gamma$.

The automorphism group is the group of symmetries of the abstract graph, independent of any embedding in $\mathbb{R}^3$. However the symmetries of a molecule are only those symmetries of the abstract graph which occur as symmetries of the molecular graph. In particular, we have the following definition.

**Definition 1.2.** We define the molecular symmetry group of a molecular graph $\gamma$ as the subgroup of $\text{Aut}(\gamma)$ induced by chemically possible motions taking the molecule to itself together with reflections which take the molecule to itself.

The molecular symmetry group of the graph illustrated in Figure 1 is $D_3$ (the dihedral group with six elements) and is generated by the automorphism induced by a planar reflection together with the automorphism induced by a 120° rotation of the three Cl's at the top keeping the rest of the graph fixed.

While the molecular symmetry group makes sense chemically, it cannot be defined mathematically because the existence of a particular molecular symmetry may depend on the flexibility of the molecule or the possibility of rotating around certain bonds within the molecule. Rather than assuming all molecules are completely rigid as the point group does, we now consider the group obtained by treating all molecules as if they were completely flexible.

**Definition 1.3.** The topological symmetry group of a graph $\Gamma$ embedded in $\mathbb{R}^3$ is the subgroup of $\text{Aut}(\Gamma)$ induced by diffeomorphisms of the pair $(\mathbb{R}^3, \Gamma)$. It is denoted by $\text{TSG}(\Gamma)$.

Consider the graph of the molecular Möbius ladder illustrated in Figure 2. This molecule is large enough to be somewhat flexible. We number some of the vertices so that we can write the automorphisms more conveniently. The automorphism $(23)(56)(14)$ is induced by turning the molecule upside down. This is the only non-trivial automorphism which is induced by a rigid symmetry. Thus the point group of the molecule is $\mathbb{Z}_2$. However, the automorphism $(123456)$ is induced by rotating the molecule by 120° while slithering the half-twist back to its original position. Thus the topological symmetry group of this molecule is $D_6$ (the dihedral group of order 12). Because of the flexibility of the molecule, this is also the molecular symmetry group.

While the motivation for the study of topological symmetry groups came from considering the symmetries of non-rigid molecules, we can consider the topological symmetry group of any graph embedded in $\mathbb{R}^3$. In fact, the study of topological symmetry groups is a natural extension of the study of the symmetries of knots.
Thus, as is typical in knot theory, we prefer to consider graphs embedded in $S^3$ rather than in $\mathbb{R}^3$. Observe that the topological symmetry group of a graph embedded in $\mathbb{R}^3$ is the same as if we consider the same embedding of the graph in $S^3$.

**Definition 1.4.** Let $\Gamma$ be a graph embedded in $S^3$. The orientation preserving topological symmetry group, $\text{TSG}_+(\Gamma)$, is the subgroup of $\text{TSG}(\Gamma)$ induced by orientation preserving diffeomorphisms of the pair $(S^3, \Gamma)$.

Observe that either $\text{TSG}_+(\Gamma) = \text{TSG}(\Gamma)$ or $\text{TSG}_+(\Gamma)$ is a normal subgroup of $\text{TSG}(\Gamma)$ of index 2. So understanding $\text{TSG}_+(\Gamma)$ is a key step in understanding $\text{TSG}(\Gamma)$. For the rest of this paper we will focus our attention on the orientation preserving topological symmetry group. However, for the sake of simplicity we will abuse terminology and refer to these groups simply as topological symmetry groups.

The general question we are interested in is: for a given graph, what groups can occur as topological symmetry groups? In [5] it was shown that not every finite group can occur as the topological symmetry group of some graph in $S^3$. In particular, $\text{TSG}_+(\Gamma)$ cannot be the alternating group $A_n$ for $n > 5$ for any embedded graph $\Gamma$ in $S^3$. However, there is no known classification of all possible topological symmetry groups of graphs in $S^3$. A complete graph, $K_n$, is a graph with $n$ vertices and an edge between every pair of vertices. The class of complete graphs is an interesting family of graphs to consider because $\text{Aut}(K_n)$ is the symmetric group $S_n$, which is the largest automorphism group of any graph with $n$ vertices. In [6], Flapan, Naimi, and Tanvakis proved the following theorem, characterizing which finite groups can occur as topological symmetry groups of embeddings of complete graphs in $S^3$.

**Complete Graph Theorem.** [6] A finite group $H$ is $\text{TSG}_+(\Gamma)$ for some embedding $\Gamma$ of a complete graph in $S^3$ if and only if $H$ is isomorphic to a finite cyclic group, a dihedral group, $S_4$, $A_4$, $A_5$, or a subgroup of $D_m \times D_m$ for some odd $m$.

Observe that the Complete Graph Theorem does not tell us for a given $n$, what groups can occur as the topological symmetry group of some embedding of $K_n$ in $S^3$. In this paper we characterize what groups can occur as the topological symmetry group of an embedding of any complete graph of the form $K_{4r+3}$ in $S^3$.

2. **Topological symmetry groups of complete graphs.** In addition to the Complete Graph Theorem, we will make use of several results from other papers. The following result from [5] shows us that for 3-connected graphs (i.e., those which cannot be disconnected or reduced to a single vertex by deleting fewer than 3 vertices), we only need to consider topological symmetry groups that are induced by
a finite subgroup of $\text{Diff}_+(S^3)$ (i.e., the group of orientation preserving diffeomorphisms of $S^3$).  

**Finiteness Lemma.** [5] Let $H = \text{TSG}_+(\Gamma)$ for some $3$-connected graph $\Gamma$ embedded in $S^3$. Then $\Gamma$ can be re-embedded as $\Delta$ such that $H \leq \text{TSG}_+(\Delta)$ and $\text{TSG}_+(\Delta)$ is induced by an isomorphic finite subgroup of $\text{Diff}_+(S^3)$.

We contrast this result with the example, illustrated in Figure 1, in which the rotation of the three Cl's cannot be induced by any finite order diffeomorphism. Observe that the graph in Figure 1 can be disconnected by removing a single vertex, and hence it is not $3$-connected.

The following theorem classifies which automorphisms of $K_n$ can be induced by a finite order orientation preserving diffeomorphism of some embedding of $K_n$ in $S^3$. For simplicity we will use the word *cycle* only for non-trivial cycles of an automorphism. Note that, if $n = 4r + 3$, then $n > 6$.

**Automorphism Theorem.** [2] Let $K_n$ be a complete graph on $n > 6$ vertices and let $\varphi$ be an automorphism of $K_n$. Then there is an embedding $\Gamma$ of $K_n$ in $S^3$ such that $\varphi$ is induced by an orientation preserving diffeomorphism $h$ of $(S^3, \Gamma)$ of order $m$ if and only if the cycles and fixed vertices of $\varphi$ can be described by one of the following:

1. $m > 2$ is even, all cycles of $\varphi$ are of order $m$, and $\varphi$ fixes no vertices.
2. $m = 2$, all cycles of $\varphi$ are of order $m$, and $\varphi$ fixes at most two vertices.
3. $m$ is odd, all cycles of $\varphi$ are of order $m$, and $\varphi$ fixes at most three vertices.
4. $m$ is an odd multiple of 3 and $m > 3$, all cycles of $\varphi$ are of order $m$ except one of order 3, and $\varphi$ fixes no vertices.

We will say that an automorphism $\varphi$ of $K_n$ is of *type $4$*, if it is described by Condition (4) of the Automorphism Theorem.

We now prove some general lemmas about automorphisms of graphs and subgroups of $\text{Diff}_+(S^3)$ which are the product of two cyclic groups. We will use $fix(h)$ to denote the fixed point set of a diffeomorphism $h$ of $S^3$. Note that if $h$ is orientation preserving, then by Smith Theory [7] either $fix(h) \cong S^1$ or $fix(f) = \emptyset$.

**Orbits Lemma.** Suppose $\alpha$ and $\beta$ are commuting automorphisms of a finite set $V_1$. Then $\beta$ takes $\alpha$-orbits to $\alpha$-orbits of the same length.

**Proof.** Let $A$ denote a minimal length $\alpha$-orbit (i.e., no $\alpha$-orbit has a shorter length). Then

$$\beta(A) = \beta(\alpha(A)) = \alpha(\beta(A)).$$

Thus $\alpha$ takes $\beta(A)$ to $\beta(A)$. This implies that $\beta(A)$ is a union of $\alpha$-orbits. However, $|\beta(A)| = |A|$, which is a minimal length $\alpha$-orbit. Hence $\beta(A)$ is actually a single $\alpha$-orbit.

Let $A_1, A_2, ..., A_n$ denote all the minimal length $\alpha$-orbits in $V_1$, then for each $i$, there exists a $j$ such that $\beta(A_i) = A_j$. Thus

$$\beta(A_1 \cup A_2 \cup ... \cup A_n) = A_1 \cup A_2 \cup ... \cup A_n$$

Let $V_2 = V_1 - (A_1 \cup A_2 \cup ... \cup A_n)$, then $\alpha(V_2) = V_2$ and $\beta(V_2) = V_2$. Now start with $V_2$, and repeat the above argument as necessary to see that $\beta$ takes every $\alpha$-orbit to an $\alpha$-orbit of the same length. 

$\square$
Disjoint Fixed Points Lemma. Suppose \( g,h \in \text{Diff}_+(S^3) \) such that \( \langle g,h \rangle = \mathbb{Z}_p \times \mathbb{Z}_q \) is not cyclic or equal to \( D_2 \). Then \( \text{fix}(g) \) and \( \text{fix}(h) \) are disjoint.

Proof. Let \( G = \langle g,h \rangle \). Suppose there exists \( x \in \text{fix}(g) \cap \text{fix}(h) \). Let \( B(x) \) denote a regular neighborhood of \( x \) in \( S^3 \), and let \( N(x) = \bigcap_{f \in G} f(B(x)) \). Since \( G \) is finite and fixes \( x \), \( N(x) \) is a ball around \( x \) which is setwise invariant under \( G \).

Let \( H \) be the restriction of \( G \) to the sphere, \( \partial N(x) \). By Smith Theory [7], if some \( f \in G \) pointwise fixes \( \partial N(x) \), then \( f \) must be the identity. Thus \( H \cong G = \mathbb{Z}_p \times \mathbb{Z}_q \), and \( H \) is neither cyclic nor equal to \( D_2 \). Since this is impossible, \( \text{fix}(g) \) and \( \text{fix}(h) \) must in fact be disjoint.

In the next several lemmas we put restrictions on the types of automorphisms that can be induced on an embedding \( \Gamma \) of \( K_n \) by a group \( \mathbb{Z}_p \times \mathbb{Z}_q \leq \text{Diff}_+(S^3) \).

3-Cycle Lemma. Let \( \Gamma \) be an embedding of \( K_n \) in \( S^3 \), and let \( \alpha \) and \( \beta \) be orientation preserving diffeomorphisms of \((S^3, \Gamma)\) of odd orders \( p \) and \( q \) respectively such that \( p,q > 1 \) and \( p\mid q \). Suppose that \( \langle \alpha, \beta \rangle = \mathbb{Z}_p \times \mathbb{Z}_q \), and \( \langle \alpha \rangle \cap \langle \beta \rangle = \langle e \rangle \). Then the following hold.

1. \( \alpha \) cannot be of type 4, and if \( \beta \) is of type 4 then \( p = 3 \).
2. If \( \alpha \) and \( \beta \) have no fixed vertices and either \( \alpha \) or \( \beta \) has no 3-cycles, then \( pq \mid n \).
3. There are at most two disjoint sets of 3 vertices which are setwise invariant under both \( \alpha \) and \( \beta \).

Proof. Suppose that there is some diffeomorphism \( \delta \in \langle \alpha, \beta \rangle \) which is of type 4. Then, by the Automorphism Theorem, there exists a unique \( \delta \)-cycle \( A \) of order 3. Now by the Orbits Lemma, \( \alpha(A) = A \) and \( \beta(A) = A \). Thus \( \alpha^3 \) and \( \beta^3 \) fix \( A \) pointwise, and therefore by the Disjoint Fixed Points Lemma, at least one of \( p = 3 \) or \( q = 3 \). If \( \alpha \) is of type 4, then \( p \neq 3 \) and since \( p \mid q \), \( q \neq 3 \). Hence \( \alpha \) cannot be of type 4. If \( \beta \) is of type 4, then \( q \neq 3 \) and hence \( p = 3 \). Thus Conclusion (1) holds.

Suppose \( \alpha \) and \( \beta \) have no fixed vertices. Let \( A \) and \( B \) denote the \( \alpha \)-orbit and \( \beta \)-orbit respectively of some vertex \( v \). Suppose that for some \( i < q \), \( \beta^i(A) = A \). Then \( \beta^i(v) = \alpha^k(v) \) for some \( k < p \). Let \( w \in A \). Then \( w = \alpha^s(v) \) for some \( s < p \). Thus \( \alpha^k(w) = \alpha^k(\alpha^s(v)) = \alpha^s(\alpha^k(v)) = \alpha^s(\beta^i(v)) = \beta^i(\alpha^s(v)) = \beta^i(w) \).

Hence \( \alpha^k \beta^{-i} \) fixes every \( w \in A \). Also, since \( \langle \alpha \rangle \cap \langle \beta \rangle = \langle e \rangle \), \( \alpha^k \beta^{-i} \) is not the identity. By the Automorphism Theorem, this implies that \( |A| \leq 3 \). Since \( p \) is odd and \( v \) is not fixed by \( \alpha \), we must have \( |A| = 3 \). Similarly, if for some \( j < p \), \( \alpha^j(B) = B \), then \( |B| = 3 \).

Suppose that \( \alpha \) has no 3-cycles. Then by the above argument, for every \( i < q \), \( \beta^i(A) \neq A \). We know by the Orbits Lemma that \( \beta \) takes \( \alpha \)-orbits to \( \alpha \)-orbits. Since \( \beta \) has order \( q \), \( \beta \) permutes the \( \alpha \)-orbits in cycles of length \( q \). Since \( \alpha \) has no fixed vertices or 3-cycles, every \( \alpha \)-orbit has length \( p \). This implies that \( pq \mid n \). By switching the roles of \( \alpha \) and \( \beta \), we obtain the same result when \( \beta \) has no 3-cycles. Thus Conclusion (2) follows.

Now suppose that \( A_1, A_2, \) and \( A_3 \) are disjoint sets of three vertices such that for each \( i \), \( \alpha(A_i) = A_i \) and \( \beta(A_i) = A_i \). Then \( \alpha \) and \( \beta \) each have at least two 3-cycles and either a third 3-cycle or 3 fixed vertices. Hence by the Automorphism Theorem \( p = 3 = q \). For each \( i \), we take the union of the three edges of \( \Gamma \) joining pairs of vertices in \( A_i \) to obtain a triangle \( B_i \) which is setwise invariant under both \( \alpha \) and \( \beta \). Thus \( B_1, B_2, \) and \( B_3 \) are disjoint simple closed curves which are each setwise invariant under the group \( \langle \alpha, \beta \rangle \leq \text{Diff}_+(S^3) \).
Observe that no pair of $\alpha$, $\beta$, $\alpha \beta$, and $\alpha \beta^2$ are equal or inverses of one another. Since all non-trivial elements of $\langle \alpha, \beta \rangle = \mathbb{Z}_3 \times \mathbb{Z}_3$ are of order 3, for each $i$, there are at most three distinct diffeomorphisms in $\langle \alpha, \beta \rangle$ leaving $B_i$ setwise invariant. Thus for each $i$, at least two of $\alpha$, $\beta$, $\alpha \beta$, and $\alpha \beta^2$ induce the same diffeomorphism of $B_i$. Hence for each $i$, there is a non-trivial $f_i \in \langle \alpha, \beta \rangle$ which pointwise fixes $B_i$.

By the Geometrization Conjecture for Orbifolds [1], the group of diffeomorphisms $\langle \alpha, \beta \rangle$ is conjugate to a group of isometries of $S^3$. However, up to conjugacy there is only one group $H$ of isometries of $S^3$ which is isomorphic to $\mathbb{Z}_3 \times \mathbb{Z}_3$. The group $H$ consists of all isometries of the unit sphere $S^3$ of $\mathbb{C}^2$ of the form $(z_1, z_2) \mapsto (\omega^k z_1, \omega^k z_2)$ where $\omega$ is a third root of unity. The only simple closed curves which can be the fixed point set of a non-trivial element of this group of isometries are the intersections of $S^3$ with one of the two axes in $\mathbb{C}^2$. Thus $B_1$, $B_2$, and $B_3$ cannot each be pointwise fixed by a non-trivial element of $\langle \alpha, \beta \rangle$. It follows that there are at most two sets of 3 vertices which are setwise invariant under both $\alpha$ and $\beta$, and hence Conclusion (3) follows.

Fixed Vertex Lemma. Let $\Gamma$ be an embedding of $K_n$ in $S^3$. Let $\alpha$ and $\beta$ be orientation preserving diffeomorphisms of $(S^3, \Gamma)$ of odd orders $p$ and $q$ respectively such that $p$, $q > 1$ and $p|q$. Suppose that $\langle \alpha, \beta \rangle = \mathbb{Z}_p \times \mathbb{Z}_q$ such that $\langle \alpha \rangle \cap \langle \beta \rangle = \langle e \rangle$. Then the following are true.

1. If either $\alpha$ or $\beta$ fixes any vertices, then it fixes 3 vertices.
2. If $\beta$ fixes any vertices then $p = 3$, and if $\alpha$ fixes any vertices, then $p = q = 3$.

Proof. Suppose that $\alpha$ fixes precisely one vertex $v_1$. Then by the Orbits Lemma, $\beta(v_1) = v_1$, since $\{v_1\}$ is the only $\alpha$-orbit of length 1. This implies $v_1$ is a fixed vertex of $\beta$. However, since $\langle \alpha, \beta \rangle$ is neither cyclic nor $D_2$, we can apply the Disjoint Fixed Points Lemma to get a contradiction. Thus neither $\alpha$ nor $\beta$ can have precisely one fixed vertex. Suppose that $\alpha$ fixes precisely two vertices, $v_1$ and $v_2$. Then by the Orbits Lemma, $\beta\{v_1, v_2\} = \{v_1, v_2\}$, and by the Disjoint Fixed Points Lemma, $\beta$ cannot fix either $v_1$. This implies that $\beta$ has an orbit of length 2, which contradicts the Automorphism Theorem since $p$ and $q$ are of odd order. Since we did not use the hypothesis that $p|q$ (except in assuming that $\langle \alpha, \beta \rangle$ is not cyclic), the roles of $\alpha$ and $\beta$ could be switched. Thus Conclusion (1) holds.

Suppose that $\beta$ has 3 fixed vertices. Then by the Orbits and Disjoint Fixed Points Lemmas, these 3 vertices are a 3-cycle of $\alpha$. Now $\alpha$ cannot be of type 4 by the 3-Cycle Lemma. Thus $p = 3$. Suppose that $\alpha$ has 3 fixed vertices. Then these vertices form a 3-cycle of $\beta$. Now $\beta^3$ and $\alpha$ have these 3 fixed vertices in common. Thus by the Disjoint Fixed Points Lemma $\beta^3$ must be the identity, and hence $q = 3$ which implies that $p = 3$. Therefore, Conclusion (2) holds.

pq Lemma. Let $\Gamma$ be an embedding of $K_n$. Let $\alpha$ and $\beta$ be orientation preserving diffeomorphisms of $(S^3, \Gamma)$ of odd orders $p$ and $q$ respectively such that $p$, $q > 1$ and $p|q$. Suppose that $\langle \alpha, \beta \rangle = \mathbb{Z}_p \times \mathbb{Z}_q$ such that $\langle \alpha \rangle \cap \langle \beta \rangle = \langle e \rangle$. Then the following conclusions hold:

1. If $p > 3$, then $p|n$.
2. If $p = 3$ and $\beta$ is of type 4, then $p|n - 3$.
3. If $p = 3$, $q \neq 3$, and $\beta$ is not of type 4, then either $p|n$ or $pq|n - 3$.
4. If $p = q = 3$, then either $pq|n$ or $pq|n - 3$ or $pq|n - 6$.

Proof. Suppose that $p > 3$. Then by the Fixed Vertex Lemma, neither $\alpha$ nor $\beta$ fixes any vertices. By the 3-Cycle Lemma, $\alpha$ cannot be of type 4. Hence $\alpha$ has no
3-cycles, and hence by the 3-Cycle Lemma \( pq|n \). Thus we obtain Conclusion (1). For the rest of the proof, we assume that \( p = 3 \).

Suppose \( \beta \) is of type 4. By the Automorphism Theorem, \( \beta \) has precisely one 3-cycle \( A \), and \( \beta \) fixes no vertices. Also, since \( q \neq 3 \), by the Fixed Vertex Lemma \( \alpha \) fixes no vertices. By the Orbits Lemma, \( \alpha \) takes \( \beta \)-orbits to \( \beta \)-orbits of the same length. Hence, \( \alpha(A) = A \). Let \( \Gamma' \) denote the embedding of \( K_{n-3} \) obtained from \( \Gamma \) by deleting the vertices in \( A \) and the edges containing them. Then \( \alpha \) and \( \beta \) leave \( \Gamma' \) setwise invariant, neither \( \alpha \) nor \( \beta \) fixes any vertices of \( \Gamma' \), and \( \beta \) has no 3-cycles in \( \Gamma' \). Thus by the 3-Cycle Lemma applied to \( \Gamma' \), we know that \( pq|n - 3 \). Hence Conclusion (2) holds.

Next suppose that \( q \neq 3 \) and \( \beta \) is not of type 4. Then \( \beta \) has no orbits of length 3. By the Fixed Vertex Lemma, \( \alpha \) has no fixed vertices and \( \beta \) has either 0 or 3 fixed vertices. If \( \beta \) has no fixed vertices, then by the 3-Cycle Lemma, \( pq|n \). Suppose that \( \text{fix}(\beta) = A \) contains 3 vertices. By the Orbits Lemma \( \alpha(A) = A \). Define \( \Gamma' \) to be the embedding of \( K_{n-3} \) obtained from \( \Gamma \) by removing the vertices in \( A \) and the edges containing them. Then \( \alpha \) and \( \beta \) leave \( \Gamma' \) setwise invariant, neither \( \alpha \) nor \( \beta \) fixes any vertices of \( \Gamma' \), and \( \beta \) has no 3-cycles. Thus we can apply the 3-Cycle Lemma to \( \Gamma' \) to conclude that \( pq|n - 3 \). Thus Conclusion (3) holds.

Finally, suppose \( p = q = 3 \). By the Fixed Vertex Lemma, \( \alpha \) and \( \beta \) each have either 0 or 3 fixed vertices. By the Disjoint Fixed Points Lemma, the sets of fixed vertices of \( \alpha \) and \( \beta \) are disjoint, and by the Orbits Lemma the fixed vertices of one of \( \alpha \) and \( \beta \) are setwise invariant under the other. Let \( X \) denote the union of all of the sets of 3 vertices which are setwise invariant under both \( \alpha \) and \( \beta \). Thus \( X \) includes the fixed vertices of \( \alpha \) and \( \beta \), as well as any set of 3 vertices which is an orbit of both \( \alpha \) and \( \beta \). By the 3-Cycle Lemma, no more than two disjoint sets of 3 vertices are setwise invariant under both \( \alpha \) and \( \beta \). Thus \( |X| = 0, 3, \) or \( 6 \).

Let \( \Gamma'' \) be obtained from \( \Gamma \) by removing the vertices in \( X \) together with the edges between them. Thus \( \alpha \) and \( \beta \) leave \( \Gamma'' \) setwise invariant and no set of 3 vertices of \( \Gamma'' \) are setwise invariant under both \( \alpha \) and \( \beta \). Furthermore, no vertices of \( \Gamma'' \) are fixed by \( \alpha \), and hence all \( \alpha \)-orbits have \( p = 3 \) vertices. We know by the Orbits Lemma that \( \beta \) takes \( \alpha \)-orbits to \( \alpha \)-orbits; and no orbits of \( \alpha \) are also orbits of \( \beta \). Therefore, \( \beta \) permutes the \( \alpha \)-orbits in cycles of length \( q = 3 \). Let \( m \) denote the number of vertices of \( \Gamma'' \), then \( pq|m \). However, \( m \) is either \( n, n - 3, \) or \( n - 6 \). Thus Conclusion (4) follows.

\( \square \)

3. Topological symmetry groups of \( K_{4r+3} \). Now we focus on embeddings of complete graphs of the form \( K_{4r+3} \).

\( D_2 \) Lemma. There is no embedding \( \Gamma \) of any \( K_{4r+3} \) in \( S^3 \) such that \( D_2 \leq \text{TSG}_+(\Gamma) \).

Proof. Suppose that \( \Gamma \) is an embedding of some \( K_{4r+3} \) such that \( D_2 \leq \text{TSG}_+(\Gamma) \).

Let \( \phi_1 \) and \( \phi_2 \) be distinct non-trivial elements of \( D_2 \leq \text{TSG}_+(\Gamma) \). Since the number of vertices of \( \Gamma \) is \( 4r+3 \), by the Automorphism Theorem, each \( \phi_i \) must be composed of \( (2r+1) \) 2-cycles with precisely one fixed vertex. Thus, \( \phi_1 \phi_2 \) can be written as the product of \( (4r+2) \) (not necessarily disjoint) 2-cycles. However, \( \phi_1 \phi_2 \) also has order 2, and hence by the Automorphism Theorem can also be written as a product of \( (2r+1) \) 2-cycles. However, no automorphism can be written as both the product of an even number of 2-cycles and the product of an odd number of 2-cycles. Hence such an embedding of \( K_{4r+3} \) cannot exist. \( \square \)

Observe that \( D_2 \) is contained in the groups \( A_4, S_4, \) and \( A_5 \). Thus by the \( D_2 \) Lemma, there is no embedding \( \Gamma \) of \( K_{4r+3} \) in \( S^3 \) such that \( \text{TSG}_+(\Gamma) \) is \( A_4, S_4, \) or
$A_5$. Now it follows from the Complete Graph Theorem, that for any embedding $\Gamma$ of $K_{4r+3}$ in $S^3$, $\text{TSG}_+(\Gamma)$ is either cyclic, dihedral, or a subgroup of $D_m \times D_m$ for some odd $m$. The following is our main result.

**Theorem.** Let $n = 4r + 3$. A finite group $G$ is isomorphic to $\text{TSG}_+(\Gamma)$ for some embedding $\Gamma$ of $K_n$ in $S^3$ if and only if one of the following holds:

1. $G$ is $\mathbb{Z}_2$
2. $G$ is $D_p$ or $D_p$ where $p$ is odd and either $p|n$, $p|n - 1$, $p|n - 2$, or $p|n - 3$.
3. $G = \mathbb{Z}_p \times \mathbb{Z}_q$ where $p$ and $q$ are odd, $p|q$ and one of the following holds.
   - $p|n$.
   - $p = 3$ and $pq|n - 3$.
   - $p = q = 3$ and $pq|n - 6$.

In order to construct the required embeddings, we will first define an embedding of the vertices of $K_{4r+3}$ which is setwise invariant under a finite subgroup of $\text{Diff}_+(S^3)$ and then use the following result to embed the edges.

**Edge Embedding Lemma.** [4] Let $G$ be a finite subgroup of $\text{Diff}_+(S^3)$ and let $\gamma$ be a graph whose vertices are embedded in $S^3$ as a set $V$ such that $G$ induces a faithful action on $\gamma$. Suppose that adjacent pairs of vertices in $V$ satisfy the following hypotheses:

1. If a pair is pointwise fixed by non-trivial elements $h, g \in G$, then $\text{fix}(h) = \text{fix}(g)$.
2. For each pair $\{v, w\}$ in the fixed point set $C$ of some non-trivial element of $G$, there is an arc $A_{vw} \subseteq C$ bounded by $\{v, w\}$ whose interior is disjoint from $V$ and from any other such arc $A_{v'w'}$.
3. If a point in the interior of some $A_{vw}$ or a pair $\{v, w\}$ bounding some $A_{vw}$ is setwise invariant under an $f \in G$, then $f(A_{vw}) = A_{vw}$.
4. If a pair is interchanged by some $g \in G$, then the subgraph of $\gamma$ whose vertices are pointwise fixed by $g$ can be embedded in a proper subset of a circle.
5. If a pair is interchanged by some $g \in G$, then $\text{fix}(g)$ is non-empty, and for any $h \neq g$, then $\text{fix}(h) \neq \text{fix}(g)$.

Then there is an embedding of the edges of $\gamma$ in $S^3$ such that the resulting embedding of $\gamma$ is setwise invariant under $G$.

The Edge Embedding Lemma will give us an embedding $\Gamma$ of $K_{4r+3}$ in $S^3$ with $G \leq \text{TSG}_+(\Gamma)$. In order to create an embedding $\Gamma'$ with $G = \text{TSG}_+(\Gamma')$ we will need the following result which was proved as Theorem 2 of [3].

**Subgroup Theorem.** [3] Let $n > 6$, and suppose that $\Gamma$ is an embedding of $K_n$ in $S^3$ such that $\text{TSG}_+(\Gamma)$ is cyclic, dihedral, or a subgroup of $D_m \times D_m$ for some odd $m$. Then for every $H \leq \text{TSG}_+(\Gamma)$, there is an embedding $\Gamma'$ of $K_n$ such that $H = \text{TSG}_+(\Gamma')$.

With these results in hand, we prove our theorem as follows.

**Proof.** We begin by assuming that $G = \text{TSG}_+(\Gamma)$ for some embedding $\Gamma$ of $K_n$ in $S^3$. As we observed above, it follows from the Complete Graph Theorem and the $D_2$ Lemma that $G$ is either a finite cyclic group, a dihedral group, or a subgroup of $D_m \times D_m$ for some odd $m$. By the Finiteness Theorem, there exists a re-embedding $\Delta$ of $K_n$ in $S^3$ such that $G$ is induced by an isomorphic finite subgroup of $\text{Diff}_+(S^3)$.

Suppose $G$ is equal to $\mathbb{Z}_p$ or $D_p$ for some integer $p$. If $p = 2$, then $G = \mathbb{Z}_2$ since we know by the $D_2$ Lemma that $G \neq D_2$. Thus we assume that $p > 2$. Now suppose
that $p$ is even. Since $n = 4r + 3$, $n > 6$. Thus by the Automorphism Theorem, the elements of $G$ of order $p$ are composed of $p$-cycles with no fixed vertices. Hence $p|n$. However, since $p$ is even and $n = 4r + 3$, this is impossible. Thus $p$ must be odd. Now by the Automorphism Theorem, the elements of $G$ are each composed entirely of $p$-cycles with up to 3 fixed vertices. This means that either $p|n$, $p|n-1$, $p|n-2$, or $p|n-3$.

Next we assume that $G \leq D_m \times D_m$ for some odd $m$, but $G$ is neither cyclic nor dihedral and $G$ does not contain $D_2$. It follows that there exist $\alpha, \beta \in G$ of odd order $a$ and $b$ respectively such that $\langle \alpha, \beta \rangle = \mathbb{Z}_a \times \mathbb{Z}_b \leq G$ and $\mathbb{Z}_a \times \mathbb{Z}_b$ is not cyclic.

Suppose for the sake of contradiction that $G$ contains an order 2 element $\varphi$. Since $G \leq D_m \times D_m$, for every $g \in G$, either $g \varphi = \varphi g$ or $g \varphi = \varphi g^{-1}$. If $\alpha \varphi = \varphi \alpha$, then $\alpha \varphi$ has order $2a$, since $a$ is odd. However, since $2a > 2$, by the Automorphism Theorem, $\alpha \varphi$ fixes no vertices. But this is impossible since $2a$ does not divide $4r + 3$.

Thus we must have $\alpha \varphi = \varphi \alpha^{-1}$. Now, since $\varphi$ has order 2 and $n = 4r + 3$, $\varphi$ fixes some vertex $v$ of $\Gamma$. Thus $\varphi^{-1}(v) = \alpha \varphi(v) = \alpha(v)$, and hence $(\varphi^{-1})^2(v) = \alpha^2(v)$. However, since $(\varphi^{-1})^2(v) = \varphi^{-1}\varphi^{-1}(v) = \varphi^2\alpha^{-1}(v) = v$. Thus $\alpha^2$ is a non-trivial element of $\mathbb{Z}_a \times \mathbb{Z}_b$ which fixes $v$. Using an analogous argument we see that $\beta^2$ is a non-trivial element of $\mathbb{Z}_a \times \mathbb{Z}_b$ which also fixes $v$. However, since $\alpha$ and $\beta$ both have odd order, $\langle \alpha^2, \beta^2 \rangle = \langle \alpha, \beta \rangle = \mathbb{Z}_a \times \mathbb{Z}_b$, which is not cyclic or equal to $D_2$. Thus we can apply the Disjoint Fixed Points Lemma to get a contradiction. Thus $G$ does not contain any element of order 2.

It follows that $G = \mathbb{Z}_p \times \mathbb{Z}_q$ for some odd $p$ and $q$ such that $p|q$. Now the required conclusions all follow from the pq Lemma.

In order to prove the converse, we consider two cases as follows.

Case 1: Either $G = D_p$ or $Z_p$ for odd $p$, or $G = Z_2$.

First let $G = D_p$ with $p$ odd and let $G' \cong D_p$ be a subgroup of $\text{Diff}_+(S^3)$ generated by a rotation $g$ by $\frac{2\pi}{p}$ about a circle $C_g$ together with a rotation $f$ by $\pi$ about a circle $C_f$ which meets $C_g$ in two points. Observe that the orbit of $C_f$ under $G'$ is $p$ circles which are each the fixed point set of an order 2 element of $G'$.

Suppose that $n = kp$ for some integer $k$. First observe that since $n = 4r + 3$ and $p$ are both odd, $k$ must be odd. Let $x_1$ be a point on one of the arcs in $C_f - C_g$. The orbit of $x_1$ consists of $p$ points $x_1, x_2, \ldots, x_p$, each on the fixed point set of a distinct element of order 2 in $G'$. We embed $p$ of the $n$ vertices as the points $x_1, x_2, \ldots, x_p$.

Let $Y$ denote the union of the fixed point sets of all non-trivial elements of $G'$. Let $B$ denote a ball which is disjoint from $Y$ and from its image under every non-trivial element of $G'$. Since $k$ is odd, we can embed $\frac{k-1}{2}$ points in $B$. Since the order of $G'$ is $2p$, the orbit of these $\frac{k-1}{2}$ points is $(k-1)p$ points $z_1, \ldots, z_{(k-1)p}$ in $S^3 - Y$. We embed the remaining $(k-1)p$ vertices as this set of points. Observe that the sets of embedded vertices $X = \{x_1, \ldots, x_p\}$ and $Z = \{z_1, \ldots, z_{(k-1)p}\}$ of $K_n$ are setwise invariant under $G'$, and $G'|X \cup Z$ induces $G$ on $K_n$.

Since no pair of vertices is fixed by a non-trivial element of $G'$ and $G'$ contains no even order elements with order greater than 2, it is not hard to check that the hypotheses of the Edge Embedding Lemma are satisfied for the embedded vertices of $K_n$. Thus we can apply the Edge Embedding Lemma to get an embedding $\Gamma$ of $K_n$ with $D_p \leq \text{TSG}_+(\Gamma)$. Finally, since $n = 4r + 3$, we know by the forward direction of our proof that $\text{TSG}_+(\Gamma)$ is either cyclic, dihedral, or a subgroup of $D_m \times D_m$ for some odd $m$. Thus we can apply the Subgroup Theorem to get the required embedding $\Gamma'$ of $K_n$ with $D_p = \text{TSG}_+(\Gamma')$. 


Now let $n = kp + 2$ for some $k$. Since $n = 4r + 3$ and $p$ are both odd, $k$ is again odd. Thus we can embed $kp$ vertices as described above. Now we add two additional vertices $v$ and $w$ in $C_g - C_f$ so that $f$ interchanges them. Thus we have embedded all of the vertices of $K_{kp+2}$ as a set $V$ such that $G'|V$ induces $G$ on $K_{kp+2}$. Observe that $v$ and $w$ are the only pair of vertices which are fixed by a non-trivial element of $G$. Let $A_{vw}$ be one of the arcs in $C_g$ which is bounded by $v$ and $w$. Then $A_{vw}$ satisfies hypotheses (2) and (3) of the Edge Embedding Lemma. Thus, as above, we apply the Edge Embedding Lemma and then the Subgroup Lemma to get the required embedding $\Gamma'$ of $K_n$ with $D_p = TSG_+(\Gamma')$.

Next let $n = kp + 1$ for some integer $k$. In this case, since $n = 4r + 3$ and $p$ are both odd, $k$ must be even. Let $B$ be the ball described above, and choose $\frac{k}{2}$ points in $B$. The orbit of these points under $G'$ consists of $kp$ points which will be embedded vertices. We embed the final vertex $B$ as one of the points in $C_g \cap C_f$. Thus $x$ is fixed by every element of $G'$. Since again no pair of vertices is fixed by a non-trivial element of $G'$, we again obtain the required embedding of $K_n$ by applying the Edge Embedding Lemma followed by the Subgroup Theorem.

Finally, let $n = kp + 3$ for some $k$. Embed $kp + 1$ vertices as we did when $n = kp + 1$. Now add two additional vertices $v$ and $w$ in $C_g - C_f$ so that $f$ interchanges them. Now $C_g - \{x, v, w\}$ has three components, whose closures will be the arcs $A_{vx}$, $A_{vw}$, and $A_{wx}$ required by hypotheses (2) and (3) of the Edge Embedding Lemma. Now all of the hypotheses of the Edge Embedding Lemma are satisfied. Hence we again obtain the required embedding of $K_n$ by applying the Edge Embedding Lemma followed by the Subgroup Theorem.

To get embeddings $\Lambda$ of $K_n$ with $TSG_+(\Lambda) = \mathbb{Z}_p$, we apply the Subgroup Theorem to each of the above embeddings.

Finally, to get embeddings whose topological symmetry group is $\mathbb{Z}_2$ let $p = 3$. Then for some integer $k$, $n = kp$, $kp + 1$, or $kp + 2$. Hence by the above, $K_n$ has an embedding $\Gamma$ in $S^1$ with $TSG_+ (\Gamma) = D_p$. Thus by the Subgroup Theorem, $K_n$ has an embedding $\Omega$ with $TSG_+(\Omega) = \mathbb{Z}_2$.

**Case 2:** $G = \mathbb{Z}_p \times \mathbb{Z}_q$ where $p$ and $q$ are odd and $p|q$.

In this case, let $G' \cong \mathbb{Z}_p \times \mathbb{Z}_q$ be a subgroup of $\text{Diff}_+(S^1)$ generated by a rotation $g$ by $\frac{2\pi}{p}$ around a circle $C_g$ together with a rotation $f$ by $\frac{2\pi}{q}$ around a disjoint circle $C_f$ with $\text{lk}(C_g, C_f) = 1$. Thus $C_f$ and $C_g$ are each setwise invariant under $G'$. Also, the fixed point set of every non-trivial element of $G'$ is either the empty set, $C_g$, or $C_f$.

First let $k$ be an integer such that $n = kpq$. Let $B$ denote a ball which is disjoint from $C_g \cup C_f$ and from its image under every non-trivial element of $G'$. Choose $k$ points in $B$. The orbit of these points will be the $kpq$ embedded vertices of $K_n$. Since no vertices are fixed or interchanged by any non-trivial element of $G'$, the hypotheses of the Edge Embedding Lemma are satisfied. Thus by the Edge Embedding Lemma together with the Subgroup Theorem, we obtain the required embedding of $K_n$.

Next let $p = 3$ and suppose that $n = kpq + 3$ for some integer $k$. We embed $kpq$ vertices as described in the above paragraph. Then add a vertex $v_1$ on $C_f$. The orbit of $v_1$ under $G$ is 3 vertices $v_1$, $v_2$, and $v_3$ on $C_f$. Thus we have embedded all $kpq + 3$ vertices. Now it is not hard to check that the hypotheses of the Edge Embedding Lemma are satisfied. Thus by the Edge Embedding Lemma together with the Subgroup Theorem, we obtain the required embedding of $K_n$. 
Finally, let \( p = q = 3 \) and suppose that \( n = kpq + 6 \) for some integer \( k \). We embed \( kpq + 3 \) vertices as in the above paragraph. Then add a vertex \( w_1 \) on \( C_g \) together with its orbit. This gives us three more vertices contained in \( C_g \). Thus we have embedded all \( kpq + 6 \) vertices. Again it is not hard to check that the hypotheses of the Edge Embedding Lemma are satisfied. Now by the Edge Embedding Lemma together with the Subgroup Theorem, we obtain the required embedding of \( K_n \).  

□

**Examples.** In order to demonstrate the usefulness of the above theorem, we apply the theorem to the complete graph \( K_n \) for \( n = 7, 15 \) and 27.

- \( K_7 \) can be embedded in \( S^3 \) as \( \Gamma \) such that \( G = \text{TSG}_+(\Gamma) \) if and only if \( G \) is one of the following: \( \mathbb{Z}_2, \mathbb{Z}_3, \mathbb{Z}_5, \mathbb{Z}_7, D_3, D_5, \) or \( D_7 \).
- \( K_{15} \) can be embedded in \( S^3 \) as \( \Gamma \) such that \( G = \text{TSG}_+(\Gamma) \) if and only if \( G \) is one of the following: \( \mathbb{Z}_2, \mathbb{Z}_3, \mathbb{Z}_5, \mathbb{Z}_7, \mathbb{Z}_{13}, \mathbb{Z}_{15}, D_3, D_5, D_7, D_{13}, D_{15}, \) or \( \mathbb{Z}_3 \times \mathbb{Z}_3 \).
- \( K_{27} \) can be embedded in \( S^3 \) as \( \Gamma \) such that \( G = \text{TSG}_+(\Gamma) \) if and only if \( G \) is one of the following: \( \mathbb{Z}_2, \mathbb{Z}_3, \mathbb{Z}_5, \mathbb{Z}_9, \mathbb{Z}_{13}, \mathbb{Z}_{25}, \mathbb{Z}_{27}, D_3, D_5, D_9, D_{13}, D_{25}, D_{27}, \mathbb{Z}_3 \times \mathbb{Z}_3, \) or \( \mathbb{Z}_3 \times \mathbb{Z}_9 \).

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