

Homework 8 (Due Tues, Apr 1)

Math 2710 – Spring 2014

Professor Hohn

Using the proof techniques we have learned in class, prove each statement.

1. For functions whose domains are sets of real numbers, it is common practice to use a formula to describe a function's pairing rule with the understanding that the domain of the function is the set of all real numbers for which the formula gives a unique real number unless further restrictions are imposed. For example, the function f given by $f(x) = \sqrt{x-3}$ has domain $x \in \mathbb{R} \mid x \geq 3$. In each of the following, determine the domains of f and g , and then use the definition of *equal* to show whether f and g are equal.

(a) $f(x) = 1, g(x) = \frac{x-5}{x-5}$

Solution: $D_f = \mathbb{R}$ and $D_g = \mathbb{R} - \{5\}$. Thus, $f \neq g$.

(b) $f(x) = \sqrt{x}, g(x) = \sqrt{|x|}$

Solution: $D_f = \{x \in \mathbb{R} \mid x \geq 0\}$ and $D_g = \mathbb{R}$. Thus, $f \neq g$.

(c) $f(x) = |x|, g(x) = \sqrt{x^2}$

Solution: $D_f = \mathbb{R}$ and $D_g = \mathbb{R}$, and $\forall x \in \mathbb{R}, f(x) = g(x)$. Thus, $f = g$.

(d) $f(x) = x^2 - x - 6, g(x) = (x-4)(x+3) + 6$

Solution: $D_f = \mathbb{R}$ and $D_g = \mathbb{R}$, and $\forall x \in \mathbb{R}, f(x) = g(x)$. Thus, $f = g$.

(e) $f(x) = x^2, g(x) = \begin{cases} x^2 & \text{if } x \text{ is rational} \\ 0 & \text{if } x \text{ is irrational} \end{cases}$

Solution: $D_f = \mathbb{R}$ and $D_g = \mathbb{R}$, but $\forall x \in \mathbb{R}, f(x) \neq g(x)$ ($f(\pi) = \pi^2$ and $g(\pi) = 0$). Thus, $f \neq g$.

2. Suppose a function $f : A \rightarrow B$ is given. Define a relation \sim on A as follows:

$$a_1 \sim a_2 \iff f(a_1) = f(a_2)$$

Prove that \sim is an *equivalence relation* on A .

Solution: \sim is an equivalence relation if \sim is reflexive, symmetric, and transitive.

(Reflexive) Let $a \in A$. Since equality is reflexive, $f(a) = f(a)$ for all $a \in A$, and hence $a \sim a$. Therefore, \sim is reflexive.

(Symmetric) Let $a, b \in A$. If $a \sim b$, then $f(a) = f(b)$. Since equality is symmetric, $f(b) = f(a)$ and hence $b \sim a$. Hence, \sim is symmetric.

(Transitive) Let $a, b, c \in A$. Suppose $a \sim b$ and $b \sim c$. Then, $f(a) = f(b)$ and $f(b) = f(c)$. Since equality is transitive, this implies that $f(a) = f(c)$ and hence $a \sim c$. Therefore, \sim is transitive.

Since \sim is reflexive, symmetric, and transitive, \sim is an equivalence relation.

3. * If A and B are sets, let B^A denote the set of all functions from A to B .

(a) Determine the set $\{1, 2\}^{\{1, 2\}}$. That is, list its members explicitly.

(b) Show that if A, B, C are sets and $A \subseteq B$, then $A^C \subseteq B^C$.

(c) Show that the sets $\{1, 2\}^{\{1, 2\}}$ and $\{1, 2\}^{\{1, 2, 3\}}$ are disjoint.

(d) Generalize part (c) by showing that if $B \neq C$ then $A^B \cap A^C = \emptyset$.

(e) Show that if A is a nonempty set, then $\emptyset^A = \emptyset$.

(f) Show that if B is any set then $B^\emptyset = \{\emptyset\}$.

4. In each case, state without proof whether the given function is injective, surjective, and/or bijective.

(a) $f : \mathbb{N} \rightarrow \mathbb{N}$ given by $f(x) = x^3$.

Solution: f is injective, but not surjective.

(b) $g : \{1, 2, 3\} \rightarrow \{-2, 5, 6\}$ given by $g = \{(1, 5), (2, -2), (3, 6)\}$.

Solution: g is bijective.

(c) $h : \{1, 2, 3\} \rightarrow \{-2, 5, 6\}$ given by $h = \{(3, 5), (2, -2), (1, 5)\}$.

Solution: h neither injective nor surjective.

5. Let S and T be sets with three elements and two elements, respectively. In each case, state the answer and justify briefly.

(a) How many functions are there from S to T ?

Solution: There are 8 functions from S to T . To see this, note that each of the three elements in S has two "choices" in T to be mapped to. This gives $2 \times 2 \times 2 = 8$ total possible "choices" of functions from S to T .

(b) How many injections are there from S to T ?

Solution: There are no injections from S to T . To justify this, let $S = \{s_1, s_2, s_3\}$ and $T = \{t_1, t_2\}$. If we assume that f is an injection from S to T , then $f(s_1)$ and $f(s_2)$ must be distinct elements in T , therefore $t_1, t_2 \in \{f(s_1), f(s_2)\}$. But since $f(s_3)$ must either be t_1 or t_2 , then $f(s_3) \in \{f(s_1), f(s_2)\}$, contradicting the assumption that f was a bijection (since this means that $f(s_3) = f(s_1)$ or $f(s_3) = f(s_2)$). Therefore no bijection exists.

(c) How many injections are there from T to S ?

Solution: There are 6 injections from S to T . One way to see this is that the first element in T has 3 “choices” of elements to choose from; once this choice is made, the second element only has 2 remaining “choices” from which to choose, and therefore we have $3 \times 2 = 6$ injections. Alternatively, we can list them:

Let $S = \{s_1, s_2, s_3\}$ and $T = \{t_1, t_2\}$.

$$f_1 = \{(t_1, s_1), (t_2, s_2)\}$$

$$f_2 = \{(t_1, s_1), (t_2, s_3)\}$$

$$f_3 = \{(t_1, s_2), (t_2, s_1)\}$$

$$f_4 = \{(t_1, s_2), (t_2, s_3)\}$$

$$f_5 = \{(t_1, s_3), (t_2, s_1)\}$$

$$f_6 = \{(t_1, s_3), (t_2, s_2)\}$$

(d) How many surjections are there from S to T ?

Solution: There are 6 surjections from S to T . To see this, suppose that s_1 gets mapped to t_1 . Then one or both of s_2 and s_3 needs to be mapped to t_2 to ensure we have a surjection. There are 3 ways for this to happen:

$$s_2 \mapsto t_1 \text{ and } s_3 \mapsto t_2$$

$$s_2 \mapsto t_2 \text{ and } s_3 \mapsto t_1$$

$$s_2 \mapsto t_2 \text{ and } s_3 \mapsto t_2$$

By the same reasoning if s_1 gets mapped to t_2 then there are three possibilities. So, since s_1 goes to either t_1 or t_2 we have $3 + 3 = 6$ total surjections.

(e) How many surjections are there from T to S ?

Solution: There are no surjections from T to S . Since S has 3 elements, the only way for a function $f : \{t_1, t_2\} \rightarrow \{s_1, s_2, s_3\}$ to be a surjection is if $\{f(t_1), f(t_2)\}$ has 3 elements, which is clearly impossible.

(f) Guess the answers to (a) and (b) if S has m elements and T has n elements.

Solution: For a: each of the m elements of S has n “choices” to be mapped to in T , therefore a good (and correct!) guess is that there are

$$\underbrace{n \times n \times \cdots \times n}_{m \text{ factors}} = n^m$$

functions $f : S \rightarrow T$.

For b: *Case $n < m$:* then there will be no injections by the same (pigeon hole principle) argument used in b.

Case $n \geq m$: Let’s reason as in part c. The first element in S will have n “choices” in T , the second will then have the remaining $n - 1$ elements in T to “choose” from, the third will then have the remaining $n - 2$ elements in T to “choose” from, ..., the m th element will have the remaining $n - m + 1$ elements to choose from. So, a good (and correct!) guess is

$$n \times (n - 1) \times (n - 2) \times \cdots \times (n - m + 1) = \frac{n!}{(n - m)!}$$

injections.

6. * A function $f : A \rightarrow B$ is a subset of $A \times B$ and hence a subset of $(A \cup B) \times (A \cup B)$. By our definition of relation, f is a relation on $A \cup B$. Therefore, f has an inverse relation

$$f^{-1} = \{(b, a) \mid (a, b) \in f\}.$$

- (a) Write the inverses of

i. $g : \{1, 2, 3\} \rightarrow \{-2, 5, 6\}$ given by $g = \{(1, 5), (2, -2), (3, 6)\}$.

ii. $h : \{1, 2, 3\} \rightarrow \{-2, 5, 6\}$ given by $h = \{(3, 5), (2, -2), (1, 5)\}$.

- (b) Show that if f is not injective, then f^{-1} is not a function.

- (c) Show that if f is injective but not surjective, then f^{-1} is a function whose domain is a proper subset of B .

7. Let a and b be real numbers. Consider a function $f : \mathbb{R} \rightarrow \mathbb{R}$ given by the formula $f(x) = ax + b$.

- (a) Under what conditions on a and b is f a bijection from \mathbb{R} to \mathbb{R} ?

Solution: f is bijective if and only if $a \neq 0$. To see this, if $a = 0$, then for all $x \in \mathbb{R}$, $f(x) = b$ and is therefore not injective. If $a \neq 0$, then confirm that $g(x) = \frac{x-b}{a}$ is such that $f \circ g(x) = x$ and $g \circ f(x) = x$ for every $x \in \mathbb{R}$. In other symbols, $g(x) = f^{-1}(x)$ is a function for all $x \in \mathbb{R}$, which by problem 6 implies that f is a bijection.

- (b) Under what conditions on a and b is the restriction $f|_{\mathbb{Z}}$ a bijection from \mathbb{Z} to \mathbb{Z} ?

Solution: f is bijective if and only if $a = \pm 1$ and $b \in \mathbb{Z}$. To see this, note that $f(0) = b$ and $f(1) = a + b$. This shows that $b \in f(\mathbb{Z})$ and $a + b \in f(\mathbb{Z})$. Therefore, if $f(\mathbb{Z}) \subseteq \mathbb{Z}$ then $b \in \mathbb{Z}$ and $a + b \in \mathbb{Z}$, but this also implies that $(a + b) - b = a \in \mathbb{Z}$. On the other hand, if $a, b \in \mathbb{Z}$, then for any $x \in \mathbb{Z}$, $f(x) = ax + b \in \mathbb{Z}$. What we have so

far shown is that $f(\mathbb{Z}) \subseteq \mathbb{Z}$ if and only if $a, b \in \mathbb{Z}$. Now, suppose that $a \neq \pm 1$ then there is no element $x \in \mathbb{Z}$ such that $f(x) = b + 1$ (nor $b - 1$ for that matter). However, if $a = \pm 1$, then for every $x \in \mathbb{Z}$, $\frac{x-b}{a} \in \mathbb{Z}$, and therefore $g(x) = \frac{x-b}{a}$ will be a function from \mathbb{Z} to \mathbb{Z} , and as in the previous part, $g = f^{-1}$, proving that f is bijective.

(c) Under what conditions on a and b is the restriction $f|_{\mathbb{N}}$ a bijection from \mathbb{N} to \mathbb{N} ?

Solution: For f to be bijective, $a = 1$ and $b = 0$. It is clear that if $a = 1$ and $b = 0$ then $f(x) = x$ will give us a bijection. We now show that it is the only choice. From the previous part, since we want $f(\mathbb{N}) \subseteq \mathbb{N}$, we will (at very least) need $a, b \in \mathbb{Z}$. If $a < 0$ then for large enough $x \in \mathbb{N}$, $ax + b < 0$, meaning that $f(x) \notin \mathbb{N}$. Therefore, $a \geq 0$. If $a = 0$, then $f(x) = b$ will not be injective. We conclude here that $a > 0$; that is, $a \in \mathbb{N}$. Note that $f(1) = a + b \in \mathbb{N}$, therefore $a + b + 1 \in \mathbb{N}$; however, if $a > 1$, then there is no $x \in \mathbb{N}$ such that $f(x) = a + 1 + b$ (indeed, if $x \in \mathbb{N}$ and $x \neq 1$ then $x = 1 + k$ for some $k \in \mathbb{N}$; hence $f(x) = a + ka + b > a + 1 + b$ since $ka \geq a > 1$), so for $f|_{\mathbb{N}}$ to have any chance of being a bijection, $a = 1$. From here we can assume $a = 1$. If $x, y \in \mathbb{N}$ and $x < y$, then $f(x) = x + b < y + b = f(y)$. Therefore, $f(1)$ will be the smallest element in $f(\mathbb{N})$, and hence, if f is going to be a bijection, $f(1) = 1$, but this forces $b = 0$.

8. Define the 1-tuple $(a_1) = \{a_1\}$. Define the 2-tuple (a_1, a_2) to be the ordered pair (a_1, a_2) . In general, if the k -tuple (a_1, a_2, \dots, a_k) has been defined, define the $(k+1)$ -tuple $(a_1, a_2, \dots, a_k, a_{k+1})$ to be the ordered pair

$$\left((a_1, \dots, a_k), a_{k+1} \right).$$

Prove by induction on n that this recursive definition of n -tuple retains the following essential property:

$$(a_1, \dots, a_n) = (b_1, \dots, b_n) \iff a_i = b_i \text{ for each } i, 1 \leq i \leq n.$$

Solution: Proof via induction on n :

$P(1)$: Suppose $n = 1$. Then, $(a_1) = (b_1)$ if and only if $a_1 = b_1$ is true. Since our reasoning involves a recursive formula, we will check $P(2)$.

$P(2)$: Suppose $n = 2$. $(a_1, a_2) = (b_1, b_2)$ is a sequence of length 2. By definition, this means there is a function $f : \mathbb{N}_2 \rightarrow A$ such that $f(1) = a_1$ and $f(2) = a_2$, and there is a function $g : \mathbb{N}_2 \rightarrow B$ such that $g(1) = b_1$ and $g(2) = b_2$. These sequences are equal if and only if the functions are equal at every i^{th} coordinate. Thus, $(a_1, a_2) = (b_1, b_2)$ iff $f(i) = g(i)$ for all i , $1 \leq i \leq 2$. So, $(a_1, a_2) = (b_1, b_2)$ iff $a_i = b_i$ for all i , $1 \leq i \leq 2$.

Assume $P(k)$ is true. That is, $(a_1, \dots, a_k) = (b_1, \dots, b_k)$ iff $a_i = b_i$ for all $1 \leq i \leq k$.

$$\begin{aligned} (a_1, \dots, a_k, a_{k+1}) &= (b_1, \dots, b_k, b_{k+1}) \\ \iff ((a_1, \dots, a_k), a_{k+1}) &= ((b_1, \dots, b_k), b_{k+1}) \\ \iff (a_1, \dots, a_k) &= (b_1, \dots, b_k) \text{ and } a_{k+1} = b_{k+1} \end{aligned}$$

By our hypothesis, $a_i = b_i$ for all i , $1 \leq i \leq k$. Thus, $(a_1, \dots, a_k, a_{k+1}) = (b_1, \dots, b_k, b_{k+1})$ if and only if $a_i = b_i$ for all i , $1 \leq i \leq k + 1$, and $P(k + 1)$ is true.

By the Principle of Mathematical Induction, the recursive definition of n -tuple retains the essential property:

$$(a_1, \dots, a_n) = (b_1, \dots, b_n) \iff a_i = b_i \text{ for each } i, 1 \leq i \leq n.$$

9. Information transmitted electronically is usually encoded in the form of n -tuples of 0s and 1s, often called **n -bit strings**. For brevity, commas and parentheses are omitted; thus, 01101011 is an 8-bit string. A **code of length n** is a set of such strings, and the members of code are called codewords. To aid in the detection and correction of errors, it is useful to have different codewords differ in more than one coordinate. Define the **distance** between two n -bit strings to be the number of coordinates in which they differ. More formally, let \mathbb{Z}_2^n denote the set of n -bit strings, and define a function $d : \mathbb{Z}_2^n \times \mathbb{Z}_2^n \rightarrow \mathbb{Z}$ by

$$d(a_1a_2\dots a_n, b_1b_2\dots b_n) = \sum_{i=1}^n |a_i - b_i|$$

where $a_i, b_i \in \{0, 1\}$ for each i . For example, $d(1101, 1001) = 1$. Prove that the function d has the following properties:

(a) $d(s_1, s_2) \geq 0$

Solution: Let $s_1 = a_1a_2a_3\dots a_n$ and $s_2 = b_1b_2b_3\dots b_n$. Then,

$$\begin{aligned} d(s_1, s_2) &= d(a_1a_2a_3\dots a_n, b_1b_2b_3\dots b_n) \\ &= \sum_{i=1}^n |a_i - b_i| \\ &= |a_1 - b_1| + |a_2 - b_2| + \dots + |a_n - b_n| \\ &\geq 0 \quad (\text{since absolute value of any number is greater than } 0) \end{aligned}$$

(b) $d(s_1, s_2) = 0 \iff s_1 = s_2$

Solution: Let $s_1 = a_1a_2a_3\dots a_n$ and $s_2 = b_1b_2b_3\dots b_n$. Then,

$$\begin{aligned} d(s_1, s_2) &= d(a_1a_2a_3\dots a_n, b_1b_2b_3\dots b_n) \\ &= \sum_{i=1}^n |a_i - b_i| \\ &= |a_1 - b_1| + |a_2 - b_2| + \dots + |a_n - b_n| \end{aligned}$$

Thus,

$$\begin{aligned} d(s_1, s_2) = 0 &\iff |a_1 - b_1| + |a_2 - b_2| + \dots + |a_n - b_n| = 0 \\ &\iff |a_i - b_i| = 0 \quad \text{for all } i, 1 \leq i \leq n \\ &\iff a_i = b_i \quad \text{for all } i, 1 \leq i \leq n \\ &\iff s_1 = s_2 \end{aligned}$$

(c) $d(s_1, s_2) = d(s_2, s_1)$

Solution: Let $s_1 = a_1a_2a_3 \dots a_n$ and $s_2 = b_1b_2b_3 \dots b_n$. Then,

$$\begin{aligned}
 d(s_1, s_2) &= d(a_1a_2a_3 \dots a_n, b_1b_2b_3 \dots b_n) \\
 &= \sum_{i=1}^n |a_i - b_i| \\
 &= |a_1 - b_1| + |a_2 - b_2| + \dots + |a_n - b_n| \\
 &= |b_1 - a_1| + |b_2 - a_2| + \dots + |b_n - a_n| \\
 &= \sum_{i=1}^n |b_i - a_i| \\
 &= d(b_1b_2b_3 \dots b_n, a_1a_2a_3 \dots a_n) \\
 &= d(s_2, s_1)
 \end{aligned}$$

(d) For all $s_1, s_2, s_3 \in \mathbb{Z}_2^n$,

$$d(s_1, s_3) \leq d(s_1, s_2) + d(s_2, s_3) \quad (\text{the triangle inequality})$$

Suggestion: Use induction on n , starting with $n = 1$.

Solution: Proof via induction on n .

Let $s_1 = a_1a_2 \dots a_n$, $s_2 = b_1b_2b_3 \dots b_n$, and $s_3 = c_1c_2c_3 \dots c_n$.

$P(1)$: Suppose $n = 1$. So, $s_1 = a_1$, $s_2 = b_1$, and $s_3 = c_1$, and

$$\begin{aligned}
 d(s_1, s_3) &= |a_1 - c_1| \\
 &= |a_1 - b_1 + b_1 - c_1| \\
 &= |(a_1 - b_1) + (b_1 - c_1)| \\
 &\leq |a_1 - b_1| + |b_1 - c_1| \\
 &= d(s_1, s_2) + d(s_2, s_3)
 \end{aligned}$$

And, $P(1)$ is true.

Now, assume $P(k)$ is true. We will show that $P(k + 1)$ is true.

Let $s_1 = a_1a_2 \dots a_k a_{k+1}$, $s_2 = b_1b_2 \dots b_k b_{k+1}$, and $s_3 = c_1c_2 \dots c_k c_{k+1}$. Also, let $\tilde{s}_1 = a_1a_2 \dots a_k$, $\tilde{s}_2 = b_1b_2 \dots b_k$, and $\tilde{s}_3 = c_1c_2 \dots c_k$. By the inductive hypothesis $d(\tilde{s}_1, \tilde{s}_3) \leq$

$d(\tilde{s}_1, \tilde{s}_2) + d(\tilde{s}_2, \tilde{s}_3)$. From here

$$\begin{aligned}
 d(s_1, s_3) &= \sum_{i=1}^{k+1} |a_i - c_i| \\
 &= \sum_{i=1}^k |a_i - c_i| + |a_{k+1} - c_{k+1}| \\
 &= d(\tilde{s}_1, \tilde{s}_3) + |a_{k+1} - c_{k+1}| \\
 &\leq d(\tilde{s}_1, \tilde{s}_2) + d(\tilde{s}_2, \tilde{s}_3) + |a_{k+1} - c_{k+1}| \\
 &= \sum_{i=1}^k (|a_i - b_i| + |b_i - c_i|) + |(a_{k+1} - b_{k+1}) + (b_{k+1} - c_{k+1})| \\
 &\leq \sum_{i=1}^k (|a_i - b_i| + |b_i - c_i|) + (|a_{k+1} - b_{k+1}| + |b_{k+1} - c_{k+1}|) \\
 &= \sum_{i=1}^{k+1} (|a_i - b_i| + |b_i - c_i|) \\
 &= d(s_1, s_2) + d(s_2, s_3)
 \end{aligned}$$

Thus, $P(k+1)$ is true, and by the Principle of Mathematical Induction,

$$d(s_1, s_3) \leq d(s_1, s_2) + d(s_2, s_3)$$

is true for all n .

10. * Suppose $f : \mathbb{N} \rightarrow A$ and $g : \mathbb{N} \rightarrow B$ are surjections. Prove that there is a surjection $h : \mathbb{N} \rightarrow A \cup B$. [Suggestion: Consider the list $f(1), g(1), f(2), g(2), \dots$]